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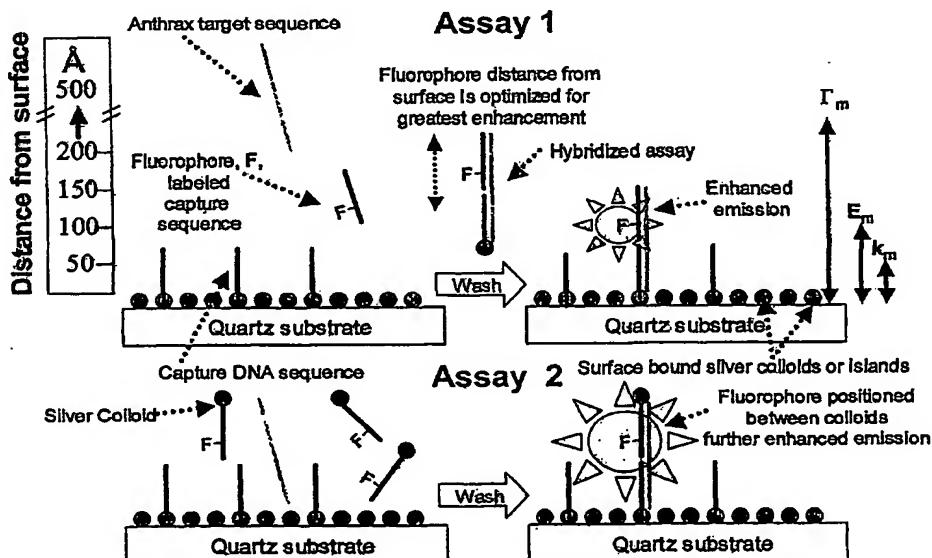
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(54) Title: HIGH-SENSITIVITY ASSAYS FOR PATHOGEN DETECTION USING METAL-ENHANCED FLUORESCENCE



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(57) Abstract: The present invention relates to an assay including a surface having silver colloids or islands attached thereto. Attached to the surface and/or silver colloids/islands are polynucleotides which are complimentary to a target polynucleotide sequence. The assay is performed by adding the target polynucleotide sequence to the assay surface and allowed to hybridize with the capture polynucleotides. Fluorophore-labeled capture polynucleotides are added and hybridize to the target polynucleotide. Bound target polynucleotides are detected by metal enhanced fluorescence.



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## HIGH-SENSITIVITY ASSAYS FOR PATHOGEN DETECTION USING METAL-ENHANCED FLUORESCENCE

### BACKGROUND OF THE INVENTION

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#### Field of Invention

The present invention relates generally to methods and systems for detecting a pathogen, and more particularly, to methods and systems using metal-enhanced 10 fluorescence due to interactions of fluorophores with metallic particles and/or surfaces.

#### Description of the Related Art

The 2001 terror attacks in the United States clearly demonstrated a need for rapid 15 detection systems for unequivocal identification of bio-warfare/bio-terrorism agents such as *Bacillus Anthracis*, the causative agent of anthrax. The ability to accurately identify biological threat agents in real time will enable first responders and clinicians to make informed decisions about the most appropriate countermeasures.

20 *Bacillus anthracis*, the virulent, endospore-forming bacterium notorious for its recent use as a bioterror weapon, has plagued humans and livestock for many years [34]. The bacterium was intimately associated with the founding of the sciences of bacteriology and immunology, highlighted by Pasteur's famous demonstration of vaccine protection of sheep at Pouilly-le-Fort, France in 1881 [35]. Since then, little attention has been 25 focused on understanding the biology of the organism, save for the fact that it possesses properties that make it ideally suited as a biological weapon. It forms heat resistant spores that are easy to produce using commercially available technology and can infect via the aerosol route. It has been reported that at the time of the Gulf War, Iraq produced large quantities of anthrax spores and had deployed SCUD/Al-Hussein 30 missiles equipped with biological weapons warheads [36].

*Bacillus anthracis* is the only obligate pathogen within the genus *Bacillus*, which comprises the Gram-positive aerobic or facultatively anaerobic spore-forming, rod-shaped bacteria. It is frequently convenient to class *B. anthracis* informally within the *B. cereus* group, which, on the basis of phenotype, comprises *B. cereus*, *B. anthracis*,  
5 *B. thuringiensis* and *B. mycoides* [37]. It is not possible to discriminate between species in this group based on 16S rRNA sequences. However, amplified fragment length polymorphism (AFLP) and multiple-locus VNTR (variable number tandem repeat) analysis (MLVA analysis) have provided clear evidence that *B. anthracis* can be distinguished reliably from other members of the bacilli [38,39]. In practical terms,  
10 the demonstration of virulence constitutes the principle point of difference between typical strains of *B. anthracis* and those of other anthrax-like organisms [40].

While *Bacillus anthracis* can kill a broad range of animals, other members of the Gram-positive *Bacillus* genus are typically soil-dwellers that cause, at worst, mild  
15 opportunistic infections. *B. anthracis* is a member of the *B. cereus* group of very closely-related, ubiquitous, soil bacteria [41,42] phylogenetically separate from the other completely sequenced *Bacillus* genomes, *B. subtilis* [41] and *B. halodurans* [43]. The nature of the virulence of individual strains in the *B. cereus* group is often determined by genes carried on large plasmids. For instance, *B. thuringiensis* strains  
20 are distinguished by their production of plasmid-encoded insecticidal crystal toxins ( $\delta$ -endotoxins) of different specificity [44]. For *B. anthracis*, genes for the anthrax toxin complex and poly-D-glutamic acid capsule are found on plasmid pX01 (181.6 kb) [45] and pX02 (96 kb) [46] respectively. While the plasmid genes are necessary for full virulence, the contributions of chromosomal determinants to the complex pathogenesis  
25 of anthrax are still largely unknown.

The US anthrax bio-terrorism attack in the autumn of 2001 offered a tragic “proof of principle” of the danger of *B. anthracis* and has spurred numerous efforts by the biomedical community to improve forensics and medical countermeasures against the  
30 bacterium. In the key area of agent detection and warning, major advances have been made. For example, systems capable of detecting aerosolized agents have been

developed and deployed based on DNA (BASIS) and antibody based technologies (Portal Shield). The ground breaking work of Department of Energy (DOE) researchers looking at aerosol samples from 11 major US cities found that nonpathogenic, close relatives of *B. anthracis* could be detected year round and that 5 their abundance varied with the season [47]. However, experience gained from the field has shown that differentiating threat agents, particularly *B. anthracis* from other nonpathogenic dose relatives is challenging.

When compared to other bacterial genomes, most *B. anthracis* proteins have their 10 highest level of similarity to other *Bacillus* genomes (*B. subtilis* (2065 (36%)) and *B. halodurans* (1082 (19%))). Most *B. anthracis* chromosomal proteins have homologs in the draft sequence of the *B. cereus* 10987 genome, confirming the very close relationship between these organisms. There are 642 genes in *B. anthracis* without matches in *B. subtilis*, *B. halodurans* or *B. cereus* 10987, but these are mostly small 15 hypothetical proteins. Only 43 have a predicted function and these numbers may be lower when the completed *B. cereus* 10987 genome is available. These genes may define unique phenotypic characteristics of *B. anthracis*, which could be potentially of great interest in regard to virulence.

20 Traditional laboratory based methods have exploited these differences but can take several days to produce results. In the context of a biological attack this is an unacceptable delay if resulting casualties are to be minimized. The need for "real-time" (<60 mins) detection has lead to the development of technologies based on DNA (PCR) and protein (antibody) targets [37]. PCR and reverse transcriptase PCR assays have 25 been reported for detecting anthrax [1,2] in air samples [5], anthrax spore detection by flow cytometry [6], microsonication to disrupt bacterial spores [7] and real-time devices for PCR analysis [8]. However, these advances are not considered simple or monetarily reasonable, and therefore limit their potential as field-deployable, emerging technologies for use in ultra-sensitive pathogen detection.

Other methods include use of a detection label such as a linked fluorescent dye molecule, such as fluorescein isothiocyanate, rhodamine, Cascade blue, that absorb electromagnetic energy in a particular absorption wavelength spectrum and subsequently emit visible light at one or more longer (i.e., less energetic) wavelengths.

5 The fluorescent molecules (fluorophores) can be detected by illumination with light of an appropriate excitation frequency and the resultant spectral emissions can be detected by electro-optical sensors or light microscopy. A wide variety of fluorescent dyes are available and offer a selection of excitation and emission spectra. Unfortunately, detection methods that employ fluorescent labels are of limited sensitivity for a variety  
10 of reasons. Firstly, the lifetime of the fluorescence emission is usually short, on the order of 1 to 100 ns. Further, the limit of detection of from typical fluorophores is limited by the significant background noise contributed by nonspecific fluorescence and reflected excitation light. Additionally, organic dye fluorophores are susceptible to photolytic decomposition of the dye molecule (i.e., photobleaching). Thus, even in  
15 situations where background noise is relatively low, it is often not possible to integrate a weak fluorescent signal over a long detection time, since the dye molecules decompose as a function of incident irradiation in the UV and near-UV bands.  
Thus, there is a need for a detection method and system using fluorophores that identifies the pathogenic agent and preferably able to differentiate between multiple  
20 agents, that does not suffer from the problems of the prior art and does not require any amplification steps, such as in PCR or ELISA.

#### SUMMARY OF THE INVENTION

25 In one aspect, the present invention relate to a DNA sequence based micro-assay approach with metallic-fluorophore combinations to provide superior high sensitivity detection, low copy number detection and single copy-number detection.

30 In another aspect, the present invention relates to a method for detecting the class A agent anthrax using a single-copy number detection method without the need for any amplification steps that can also be applied to the detection of plague, smallpox,

tularemia, botulism (botulinum toxin) or any other organism where the DNA sequence is known.

5 In yet another aspect, the present invention relates to a method for detecting a pathogen in a sample, the method comprising:

a) providing a system comprising:

10 a layer of immobilized metal particles positioned on a surface substrate, wherein the immobilized metal particles have attached thereto a captured biomolecular probe with an affinity for the target pathogen; and

a free biomolecular probe with an affinity for the target pathogen, wherein the free biomolecular probe has attached thereto a fluorophore;

b) contacting the sample with the immobilized biomolecular probes, wherein the target pathogen binds to the immobilized biomolecular probes; and

15 c) contacting the bound target pathogen with the free biomolecular probe, wherein binding of the free biomolecular probe to the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance fluorescence emission when excited by an irradiating source.

20 In a further aspect, the present invention provides a method for detecting a pathogen in a sample, the method comprising:

a) providing a system comprising:

25 a immobilized metallized surface positioned on a surface substrate, wherein the immobilized metallized surface has attached thereto an immobilized capture DNA sequence probe complementary to a known DNA sequence of the target pathogen; and

30 a free capture DNA sequence probe complementary to a known DNA sequence of the target pathogen, wherein the free capture DNA sequence probe has attached thereto a fluorophore;

- b) contacting the sample with the immobilized capture DNA sequence probe, wherein the DNA sequence of the target pathogen binds to the immobilized capture DNA sequence probe;
- 5 c) contacting the bound DNA sequence of the target pathogen with the free capture DNA sequence probe, wherein binding of the free capture DNA sequence probe to the DNA sequence of the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metallized surface to enhance fluorescence emission when excited by an irradiating source; and
- 10 d) identifying the target pathogen by fluorescence emission by irradiating the system with an irradiating source to excite the fluorophore.

In still a further aspect, the above-described method further comprises a metal colloid attached to the free capture DNA sequence probe and positioned for sandwiching the fluorophore between the metal colloid and immobilized metal particles on the surface 15 substrate.

Another aspect of the present invention relates to an assay system comprising:

- a layer of immobilized metal particles deposited on a surface substrate, wherein a captured DNA probe complementary to a known DNA sequence of the target 20 pathogen is immobilized on the metal particles;
- 25 a free DNA probe complementary to the known DNA of the target pathogen, wherein the free DNA probe has attached thereto a fluorophore; wherein binding of the immobilized and free DNA probe to the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance fluorescence emission.

Yet another aspect relates to an assay system for detecting a target pathogen comprising:

- 30 a layer of immobilized metal particles deposited on a surface substrate, wherein a captured biomolecular probe having an affinity for a target pathogen is immobilized on the metal particles;

a free biomolecular probe having an affinity for a target pathogen, wherein the free biomolecular probe has attached thereto a fluorophore; wherein binding of the immobilized and free biomolecular probe to the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance 5 fluorescence emission when excited by an irradiating source.

The present invention further comprises a detection device for detecting fluorescence emissions including, but not limited to visual inspection, digital (CCD) cameras, video cameras, photographic film, or the use of current instrumentation such as laser scanning 10 devices, fluorometers, luminometers, photodiodes, quantum counters, plate readers, epifluorescence microscopes, scanning microscopes, confocal microscopes, capillary electrophoresis detectors, or other light detector capable of detecting the presence, location, intensity, excitation and emission spectra, fluorescence polarization, fluorescence lifetime, and other physical properties of the fluorescent signal.

15

A further aspect of the present invention, relates to a kit for detecting a target pathogen in a sample, the kit comprising

a container comprising a layer of immobilized metal particles deposited on a surface substrate, wherein a captured biomolecular probe is immobilized on the metal 20 particles and wherein the captured biomolecular probe has an affinity for a target pathogen of interest ; and

a free biomolecular probe having an affinity for a target pathogen, wherein the free biomolecular probe has attached thereto a fluorophore and wherein binding of the target pathogen to both the immobilized and free biomolecular probe causes the 25 fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance fluorescence emission, wherein the immobilized and free biomolecular probe can be in the same or different containers.

Other aspects and advantages of the invention will be more fully apparent from the 30 ensuing disclosure and appended claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates two assays, Assay 1 shows a capture DNA sequence that is immobilized to a silver surface and Assay 2 shows the fluorophore labeled capture 5 DNA sequence also has an attached silver colloid.

Figure 2 illustrates the effects of local metallic colloids on a nearby fluorophore

Figure 3 illustrates a classical Jablonski diagram for the free space condition and the 10 modified form in the presence of metallic particles, islands or colloids. E = excitation,  $\Gamma_m$  = radiative rate in the presence of metal

Figure 4 illustrates metal-induced effects on the fluorescence quantum yield (left) and lifetime (right). Three simulation for quantum yield of 0.5 (A), 0.10 (B), and 0.01 (C) 15 have been assumed with a lifetime of 10 ns.

Figure 5 illustrates an electric field around a spheroid and between two spheroids. Points A and B have the highest fields, and point C has the lowest field. The lower panel shows the local intensities at points A and B.

20 Figure 6 illustrates the lifetime of  $\text{Eu}^{3+}$  ions in front of an Ag mirror as a function of separation between the  $\text{Eu}^{3+}$  ions and the mirror. The solid curve is a theoretical fit.

Figure 7 illustrates the fluorescence decay of  $\text{Eu}^{3+}$  ions.

25 Figure 8 illustrates the fluorescence intensity of A) rhodamine B; and B) rose Bengal, in the presence and absence of quartz slides. S = silver and Q = quartz.

Figure 9 illustrates the intensity decays for A) rhodamine B and B) rose Bengal in 30 Cuvettes, C between unsilvered quartz slides (Q) and between silvered quartz slides (S).

Figure 10 illustrates a sample geometry of silver island films of sub-wavelength size silver particles. Under appropriate conditions the glass becomes covered with circular islands of silver about 200 Å in diameter. About 40 % of the surface is typically 5 covered by the silver and the distance of the fluorophores from the silver surface is controlled.

Figure 11 shows the enhancement in fluorescence intensity for several fluorophores.

Figure 12 illustrates (Top) 2-photon excitation and (Bottom) 1-photon excitation of 10 RhB in the sample geometry shown in Figure 10.

Figure 13 illustrates the preferential multiphoton excitation of fluorophores in close proximity to metal, in the presence of free fluorophores, FL.

15 Figure 14 illustrates the Langmuir-Blodgett technique for depositing the first monolayer of fatty-acid spacer onto two back-to-back silvered quartz slides.

Figure 15 illustrates an experimental geometry that can be utilized to investigate the distance dependence of Metal-Enhanced Fluorescence (MEF).

20

Figure 16 illustrates absorption spectra of gold colloidal spheres.

Figure 17 illustrates absorption cross-section for a silver sphere in water (-) and for prolate spheroids with axial ratios of 2.0 and 3.0 in the small particle limit.

25

#### DETAILED DESCRIPTION OF THE PRESENT INVENTION

Before the present invention is disclosed and described, it is to be understood that this invention is not limited to the particular process steps and materials disclosed herein as 30 such process steps and materials may vary somewhat. It is also to be understood that the terminology used herein is used for the purpose of describing particular

embodiments only and is not intended to be limiting since the scope of the present invention will be limited only by the appended claims and equivalents thereof.

It must be noted that, as used in this specification and the appended claims, the singular forms "a," "an," and "the" include plural references unless the content clearly dictates otherwise.

As used hereinafter the terms fluorescent dye, fluorochrome, or fluorophore are used interchangeably and bear equivalent meanings. Fluorophore used in this invention are 10 preferably of the general class known as cyanine dyes, with emission wavelengths between 550 nm and 900 nm. These dyes may contain methine groups and their number influences the spectral properties of the dye. The monomethine dyes that are pyridines typically have blue to blue-green fluorescence emission, while quinolines have green to yellow-green fluorescence emission. The trimethine dye analogs are 15 substantially shifted toward red wavelengths, and the pentamethine dyes are shifted even further, often exhibiting infrared fluorescence emission.

In addition to fluorophores discussed above, related dyes can be further selected from cyclobutenedione derivatives, substituted cephalosporin compounds, fluorinated 20 squaraine compositions, symmetrical and unsymmetrical squaraines, alkylalkoxy squaraines, or squarylium compounds. Some of these dyes can fluoresce at near infrared as well as at infrared wavelengths that would effectively expand the range of emission spectra up to about 1,000 nm. In addition to squaraines, i.e., derived from 25 squaric acid, hydrophobic dyes such as phthalocyanines and naphthalocyanines can also be selected to operate at longer wavelengths. Other classes of fluorophores include 3-Hydroxypyrene 5,8,10-Tri Sulfonic acid, 5-Hydroxy Tryptamine, 5-Hydroxy Tryptamine (5-HT), Acid Fuhsin, Acridine Orange, Acridine Red, Acridine Yellow, Acriflavin, AFA (Acriflavin Feulgen SITSA), Alizarin Complexon, Alizarin Red, Allophycocyanin, ACMA, Aminoactinomycin D, Aminocoumarin, Anthroyl Stearate, 30 Aryl- or Heteroaryl-substituted Polyolefin, Astrazon Brilliant Red 4 G, Astrazon Orange R, Astrazon Red 6B, Astrazon Yellow 7 GLL, Atabrine, Auramine,

Auroposphine, Auroposphine G, BAO 9 (Bisaminophenyloxadiazole), BCECF, Berberine Sulphate, Bisbenzamide, BOBO 1, Blancophor FFG Solution, Blancophor SV, Bodipy F1, BOPRO 1, Brilliant Sulphoflavin FF, Calcien Blue, Calcium Green, Calcofluor RW Solution, Calcofluor White, Calcophor White ABT Solution, Calcophor 5 White Standard Solution, Carbocyanine, Carbostyryl, Cascade Blue, Cascade Yellow, Catecholamine, Chinacrime, Coriphosphine O, Coumarin, Coumarin-Phalloidin, CY3.1 8, CY5.1 8, CY7, Dans (1-Dimethyl Amino Naphaline 5 Sulphonic Acid), Dansa (Diamino Naphthyl Sulphonic Acid), Dansyl NH-CH3, DAPI, Diamino Phenyl Oxydiazole (DAO), Dimethylamino-5-Sulphonic acid, Dipyrrometheneboron 10 Difluoride, Diphenyl Brilliant Flavine 7GFF, Dopamine, Eosin, Erythrosin ITC, Ethidium Bromide, Euchrysin, FIF (Formaldehyde Induced Fluorescence), Flazo Orange, Fluo 3, Fluorescamine, Fura-2, Genacryl Brilliant Red B, Genacryl Brilliant Yellow 10GF, Genacryl Pink 3G, Genacryl Yellow 5GF, Goxalic Acid, Granular Blue, Haematoporphyrin, Hoechst 33258, Indo-1, Intrawhite Cf Liquid, Leucophor PAF, 15 Leucophor SF, Leucophor WS, Lissamine Rhodamine B200 (RD200), Lucifer Yellow CH, Lucifer Yellow VS, Magdala Red, Marina Blue, Maxilon Brilliant Flavin 10 GFF, Maxilon Brilliant Flavin 8 GFF, MPS (Methyl Green Pyronine Stilbene), Mithramycin, NBD Amine, Nile Red, Nitrobenzoxadidole, Noradrenaline, Nuclear Fast Red, Nuclear 20 Yellow, Nylosan Brilliant Flavin E8G, Oregon Green, Oxazine, Oxazole, Oxadiazole, Pacific Blue, Pararosaniline (Feulgen), Phorwite AR Solution, Phorwite BKL, Phorwite Rev, Phorwite RPA, Phosphine 3R, Phthalocyanine, Phycoerythrin R, Polyazaindacene Pontochrome Blue Black, Porphyrin, Primuline, Procion Yellow, Propidium Iodide, Pyronine, Pyronine B, Pyrozal Brilliant Flavin 7GF, Quinacrine Mustard, Rhodamine 123, Rhodamine 5 GLD, Rhodamine 6G, Rhodamine B, Rhodamine B 200, Rhodamine 25 B Extra, Rhodamine BB, Rhodamine BG, Rhodamine WT, Rose Bengal, Serotonin, Sevron Brilliant Red 2B, Sevron Brilliant Red 4G, Sevron Brilliant Red B, Sevron Orange, Sevron Yellow L, SITS (Primuline), SITS (Stilbene Isothiosulphonic acid), Stilbene, Snarf 1, sulpho Rhodamine B Can C, Sulpho Rhodamine G Extra, Tetracycline, Texas Red, Thiazine Red R, Thioflavin S, Thioflavin TCN, Thioflavin 5, 30 Thiolyte, Thiozol Orange, Tinopol CBS, TOTO 1, TOTO 3, True Blue, Ultralite, Uranine B, Uvitex SFC, Xylene Orange, XRITE, YO PRO 1, or combinations thereof.

One skilled in the art would know which one to select among such fluorescence dyes as long as the desired emission and absorption properties as well as their hydrophobic properties are appropriate. The spectral properties of the fluorescent dyes should be  
5 sufficiently similar in excitation wavelengths and intensity to fluorescein or rhodamine derivatives as to permit the use of the same.

Attaching of the fluorophore to the immobilized and/or free biomolecular probe may be achieved by any of the techniques familiar to those skilled in the art. For example, the  
10 fluorophore may be covalently attached to the bimolecular probe by methods disclosed in U.S. Pat. Nos. 5,194,300 Cheung and 4,774,189 Schwartz.

In another embodiment, the assay system of the present invention provides for detecting and separating at least two target pathogen by choosing fluorophores such  
15 that they possess substantially different emission spectra, preferably having emission maxima separated by greater than 10 nm, more preferably having emission maxima separated by greater than 25 nm, even more preferably separated by greater than 50 nm. When differentiation between the two fluorophores is accomplished by visual inspection, the two dyes preferably have emission wavelengths of perceptibly different  
20 colors to enhance visual discrimination. When it is desirable to differentiate between the two fluorophores using instrumental methods, a variety of filters and diffraction gratings allow the respective emission maxima to be independently detected.

The technology used in the disclosed assay is "Metal-Enhanced Fluorescence" (MEF),  
25 or in the alternative Surface-Enhanced Fluorescence (SEF), whereby metallic particles can interact with fluorophores (by modifying their free-space conditions), producing ultra-bright fluorophores, which are both more photostable and can emit approximately  $10^6$  more photons per fluorophore before photodestruction.

30 MEF is enhanced by further exploiting another complimentary property of the metal-fluorophore combinations, namely the "enhanced rate of excitation" ( $E_m$ ), where a red-

pulsed laser diode and light emitting diode can serve as sources for two-photon excitation of labeled fluorophores. Such excitation sources and assay also provide an inexpensive field-deployable anthrax detection system. Up to about  $10^{10}$  fold enhancement in the fluorescence signal may be obtained when using two-photon excitation, allowing the detection of single copies of anthrax DNA.

Two assays are disclosed in Figure 1. Assay 1 comprises a capture DNA sequence that is immobilized to a silver surface (immobilized colloids or islands). Target DNA hybridizes to this capture DNA sequence and to a fluorophore labeled capture sequence. The bound target DNA is detected by the enhanced fluorescence from the bound fluorophore labeled capture sequence. Both capture DNA sequences (one surface-bound and one free in solution) are designed and fabricated in such a way that they are complimentary to a known target pathogen DNA sequence (or any DNA sequence, depending upon the assay). When the two capture sequences bind the target DNA strand, the fluorophore located on the free capture strand is positioned within the enhancing region, from about 50 Å to about 500 Å from the silver surface. Only by the binding of a complimentary anthrax DNA target strand will any fluorescence be observed, as a low quantum yield fluorophore is used. This provides a desirable low fluorescence background for the assay. Using 2-photon excitation to spatially localize the excitation to only fluorophores that are in close proximity to the silver surface (50 - 500 Å), the fluorescence signal level is substantially increased, as is the assay signal-to-noise ratio.

In Assay 2, the fluorophore labeled capture DNA sequence also has an attached silver colloid. The fluorescence signal in this assay is further enhanced by the presence of silver colloids above and below the fluorophore upon hybridization to the target DNA sequence.

It is important to include a stable, non-transferable chromosomal target [49] for the target pathogen DNA sequence and importantly, the chromosomal gene targets must be usable to discriminate *B. anthracis* and other members of the *B. cereus* group [37,50].

Knowledge about fluorescence is based on measurements of the spectroscopic properties of fluorophores that upon excitation, radiate into a homogeneous and non-conducting medium, typically referred to as free space. These spectral properties are 5 well described by Maxwell's equations for a radiating oscillating dipole. However, the interactions of an emitting dipole with physical objects can be considerably more complex, as known from antenna and receiver design. The size and shape of an antenna are designed with the goal of directing the radiation and accounting for its interactions with the earth's surface. A fluorophore is also like an antenna, but one 10 which oscillates at high frequency and radiates short wavelengths. Local effects are not usually seen because of the small size of fluorophores relative to the experimental apparatus.

In most spectroscopic measurements, the solutions or medium is transparent to the 15 emitted and sampling radiation. However there are several important exceptions to the free space condition. One well-known example is Surface Enhanced Raman Scattering (SERS) [9-14]. It is known that the presence of a metallic surface can enhance the Raman signals by factors of  $10^3$  to  $10^8$ , and reports of even larger enhancements have appeared. The presence of a nearby metal film, island or particle can also alter the 20 emission properties of fluorophores. The most well known effect is the quenching of fluorescence by a near-by metal. The emission of fluorophores within 50Å of a metal surface is almost completely quenched. This effect is used in fluorescence microscopy with evanescent wave excitation. For a fluorophore located on a cell membrane and near the quartz-water interface the fluorescence emission is quenched, allowing 25 selective observation of the emission from a fluorophore in the cytoplasmic region of the cell which is more distant from the solid-liquid interface [15]. In addition to quenching, metal surfaces or particles can cause significant increases in fluorescence. Remarkably, depending on the distance and geometry, metal surfaces or particles can result in enhancement factors of up to 1000 for the fluorescence emission [16-18]. 30 Fluorophores near a metal film are not expected to emit isotropically, but rather the emission is directed into selected directions which are dependent on the sample

configuration and the nature of the metallic surface [19-24]. In addition to directionality, the decay times of fluorophores are altered by the metal. In fact the lifetimes of fluorophores placed at fixed distances from a continuous metallic-surface oscillate with distance [21].

5

The effects of metallic particles and surfaces on fluorophores are due to at least three known mechanisms as described in Figure 2. One mechanism is energy transfer quenching,  $k_m$ , to the metals with a  $d^{-3}$  dependence [22]. This quenching can be understood by damping of the dipole oscillations by the nearby metal. A second 10 mechanism is an increase in the emission intensity due to the metal increasing the local incident field on the fluorophore,  $E_m$ , with a maximum theoretical enhancement effect of about 140. This effect has been observed for metal colloids and is appropriately called the "Lightning Rod effect" [23- 25]. This enhancement can be understood as due to the metal particles on concentrating the local field and subsequently increasing 15 the rate of excitation. The third mechanism is that a nearby metal can increase the intrinsic decay rate of the fluorophore,  $\Gamma_m$ , that is, to modify the rate at which the fluorophore emits photons [26-29]. These later two fluorophore-metal interactions offer remarkable opportunities for advanced fluorescence assay-technology [26,27,30].

20 The distance dependence of fluorescence enhancements and those of quenching may be determined by standard methods disclosed herein.

In fluorescence, the spectral observables are governed by the magnitude of  $\Gamma$ , the radiative rate, relative to the sum of the non-radiative decay rates,  $k_{nr}$  such as internal 25 conversion and quenching. In the absence of metallic particles or surfaces, the quantum yield,  $Q_0$  and fluorescence lifetime  $\tau_0$  are given by:

$$Q_0 = \frac{\Gamma}{\Gamma + k_{nr}}$$

30

$$\tau_0 = \frac{1}{\Gamma + k_{nr}}$$

Fluorophores with high radiative rates have high quantum yields and short lifetimes. Increasing the quantum yield requires decreasing the non-radiative rates  $k_{nr}$ , which is often only accomplished when using low solution temperatures or a fluorophore 5 binding in a more rigid environment. The natural lifetime of a fluorophore,  $\tau_N$ , is the inverse of the radiative decay rate or the lifetime, which would be observed if the quantum yield were unity. This value is determined by the oscillator strength (extinction coefficient) of the electronic transition [31]. The extinction coefficients of chromophores are only very slightly dependent on their environment. Hence for almost 10 all examples currently employed in fluorescence spectroscopy, the radiative decay rate is essentially constant.

The concept of modifying the radiative decay rate of fluorophores is unfamiliar to most spectroscopists. It is therefore intuitive to consider the novel effects of fluorescence 15 enhancement due to metal particles,  $m$ , by assuming an additional radiative rate,  $\Gamma_m$ , as shown in Figure 3. In this case the quantum yield and lifetime are given by:

$$20 \quad Q_m = \frac{\Gamma + \Gamma_m}{\Gamma + \Gamma_m + k_{nr}}$$

$$25 \quad \tau_m = \frac{1}{\Gamma + \Gamma_m + k_{nr}}$$

These equations result in important predictions for a fluorophore near a metal surface. As  $\Gamma_m$  increases, the fluorescence quantum yield increases while the lifetime decreases, as shown in Figure 4, which is converse to the free space condition where both change in unison. An ability to modify and control the radiative decay rate ( $\Gamma + \Gamma_m$ ) can have 30 profound implications for the use of fluorescence in basic research and its applications.

The plots in Figure 4 have been calculated using equation (3), assuming three fluorophores with a good (0.5), low (0.1) and very low quantum yield (0.01). The

largest enhancement in quantum yield is observed for weak fluorophores. It is realistic to envisage fluorophores with quantum yields of about 0.001 (practically non fluorescing) that become highly fluorescent (quantum yield  $\sim 1.0$ ) near a metal surface, with a maximum enhancement factor of  $1/Q_0$ . In terms of assay development of the 5 present invention this is a most attractive phenomenon, as the capture of the target DNA (by a labeled complementary strand, see Figure 1) will result in significant fluorescence emission, while the bulk solution remains dark. The second favorable lightning rod effect also increases the fluorescence intensity through locally enhanced excitation. In this case, emission of fluorophores can be substantially enhanced 10 irrespective of their quantum yields.

The reduction in lifetime of a fluorophore near a metal is due to an interaction between fluorophore and metal particle, which enhances the radiative decay rate (quantum yield increase) or depending on distance,  $d^{-3}$ , causes quenching. Figure 4 (right) shows, the 15 effect of modifying the radiative decay rate on the fluorescence lifetime. For the calculations, Equation (4) was used assuming a free space lifetime of 10 ns. It should be noted that lifetimes of fluorophores with high quantum yields (0.5) would decrease substantially more than the lifetimes of those with low quantum yields (0.1 and 0.01). A shorter excited-state lifetime also allows for less photochemical reactions which 20 subsequently results in increased fluorophore photostability.

Fluorophore photostability is a primary concern in many applications of fluorescence. This is particularly true in recent trends in single molecule spectroscopy. A shorter lifetime also allows for a larger photon flux. The maximum number of photons that are 25 emitted by a fluorophore each second is roughly limited by the lifetime of its excited state. For example, a 10 ns lifetime can yield about  $10^8$  photons per second per molecule, but in practice only  $10^3$  photons can readily be observed [15]. The small number of observed photons is typically due to both photodestruction and isotropic emission. If the metal surface decreases the lifetime then one can obtain more photons 30 per second per molecule by appropriately increasing the incident intensity. On the other hand, the metal enhanced fluorescence effects of the present invention enhances

intensity while simultaneously shortening the lifetime. Decreases in the excitation intensity will still result in increases in the emission intensity and therefore photostability.

- 5 The ability to increase the radiative decay rate suggests that any chromophore, even non-fluorescent species such as bilirubin, fullerenes, metal-ligand complexes or porphyrins could display usefully high quantum yields when appropriately placed near a metal surface.
- 10 The effects of metal surface-fluorophore interactions are highly dependent upon distance and the nature of the metal surface. The emission enhancement is observed when fluorophore distances near 5-50 nm to the metal surfaces, c.f. Figure 2. At this scale there are few phenomena that provide opportunities for extremely high levels of assay—sensing, manipulation, and control. In addition, devices at this scale may lead to
- 15 dramatically enhanced performance, sensitivity, and reliability with dramatically decreased size, weight, and therefore cost, important considerations for field-deployable bio-terrorism anthrax sensors. Slightly different effects can be expected for mirrors, sub-wavelength or semi-transparent metal surfaces, silver island films or metal colloids [32].

20 In one preferred embodiment of the present invention, a fluorophore is positioned between multiple silver colloids. In the assay of the present invention, (Figure 1, assay 2) at least one silver colloid is located at one end of the fluorophore-labeled free complimentary capture strand of DNA. Upon hybridization with the target DNA sequence, the fluorophore will be positioned in this high electric field region between the colloids. The high field enhances the emission of the high or low quantum yield fluorophore label. The high-field further enhances the extent of multiphoton excitation of the fluorophore.

- 25
- 30 As part of the anthrax assay of the present invention, sequences with specificity for the anthrax toxin gene PA (protective antigen) were used, and further it is noted that a

bioinformatics approach may be used to identify additional, unique, chromosomally located, Anthrax specific target sequences.

The possibility for altering the radiative decay rate was demonstrated by measurements 5 of the decay times of europium ( $\text{Eu}^{3+}$ ) positioned at various distances from a planar silver mirror using Langmuir-Blodgett films [51-54]. In a mirror the metal layer is continuous and thicker than for a semi-transparent film. The lifetimes of  $\text{Eu}^{3+}$  oscillate with distance from the metal yet still remain a single exponential at each distance, as shown in Figure 6. The oscillating lifetime can be explained by changes in the phase of 10 the reflected field with distance and the effects of the reflected field on the fluorophore [21]. A decrease in lifetime is found when the reflected field is in phase with the fluorophore. As the distance increases, the amplitude of the oscillations decreases. At short distances, below 5 nm, the emission is quenched, c.f. Figure 2. This effect is due to a coupling of the fluorophore dipole to the surface plasmon resonances of the metal, 15 oscillating surface charges on the metals surface. The lifetimes typically oscillate at around 25 % the free space value. However, more dramatic effects are seen with small metal particles, as shown in Figure 7. Here silver islands were coated with a thin film of  $\text{Eu}(\text{ETA})_3$ , where ETA is a ligand that chelates europium. When the  $\text{Eu}^{3+}$  chelate was deposited on a silica substrate without the silver islands, it displayed a single 20 exponential decay time of 280  $\mu\text{s}$  and a quantum yield near 0.4 ( $Q_0$  is typically 0.4). However, when deposited on silver island films, the intensity increased about 5-fold (not shown) and the lifetime decreased by ca. 100 fold to near 2  $\mu\text{s}$ , as shown in Figure 7.

25 Also the decay is no longer a single exponential on the silver island films [55]. The silver islands had the remarkable effect of increasing the intensity 5-fold while decreasing the lifetime 100-fold. Such an effect can only be explained by an increase in the radiative decay rate, c.f. equations 3 and 4. In this sample geometry an inert coating between the islands prevented  $\text{Eu}^{3+}$  chelates between the islands from being 30 emissive. The 5-fold increase in the quantum yield of  $\text{Eu}(\text{ETA})_3$  resulted in an apparent quantum yield of 2.0, which by definition is impossible. Hence this additional

enhancement must be due to an increase in the local excitation field ( $E_m$  on Figure 2) near the metal particle. It should be noted for clarity that this increase in local intensity of the incident light cannot explain the decreased lifetime, because an unperturbed  $\text{Eu}^{3+}$  chelate excited by this enhanced field would still decay with a 280  $\mu\text{s}$  lifetime, i.e. 5 enhanced excitation results in a visual increase in fluorescence and does not alter the fluorescence lifetime. Interestingly, these large increases in the radiative decay rate are due to fluorophores near metallic particles.

It was found that dramatic increases occur for metallic colloids rather than planar-10 mirrored surfaces. For example, Raman signals are dramatically enhanced by metal colloids or islands [56,57]. Surface enhanced fluorescence was investigated by using low (Rose Bengal  $Q_0 = 0.02$ ) and high quantum yield fluorophores (Rhodamine B, RhB,  $Q_0 = 0.48$ ) and silver island metal films, as shown in Figures 8 and 9. Silver island films were made by depositing silver on a glass substrate and consist of sub-15 wavelength size silver particles. Under appropriate conditions the glass becomes covered with circular islands about 200  $\text{\AA}$  in diameter. About 40 % of the surface is typically covered by the silver. Figure 10 shows the experimental geometry used for collecting this data. For RhB the intensities are nearly equivalent between two unsilvered quartz plates (Q) and between the silver island films (S). The small 20 enhancement of RhB, shown in Figure 8 is expected because for high quantum yield fluorophores the radiative rate cannot be substantially increased, where the quenching interaction with the metal and the excitation enhancement effects are likely to compete. If there is an excitation enhancement effect in this sample then it is thought to be offset 25 by the quenching effect,  $k_m$ . In any event the emission intensity increased for Rose Bengal (the lower quantum yield species) and this excitation enhancement is present during the excitation of both RhB and rose bengal. For rose Bengal, a remarkable 5-fold increase in intensity was observed as shown in Figure 8B. This increase is especially remarkable when it was recognized that only a small fraction of the volume 30 between the films is within this enhancing region. This interaction region is expected to extend to a minimum of 200  $\text{\AA}$  into the solution. Given the 1  $\mu\text{m}$  thickness and the presence of two films, only about 4% of the sample can be within this enhancement

region. This suggests a very high quantum yield for rose bengal molecules adjacent to the surface (greater than unity), which can only be explained by a complimentary increase in the rate of excitation also,  $E_m$ , Figure 2. Lifetime measurements are also informative as shown in Figure 9, as the intensity measurements shown in Figure 8 5 might under normal circumstances be explained by an increased rate of excitation or enhanced fluorescence due to the fluorophore binding to the surface of the quartz. However, lifetime measurements are unambiguous and show a substantial reduction in lifetime for rose bengal between silvered quartz plates, where as RhB lifetime remained roughly constant. The slight drop in RhB lifetime can be explained by some RhB 10 molecules being within 50 Å of the silver and hence are quenched. The bi-exponential decays can be explained in terms of sample heterogeneity.

Several other fluorophores of different well-characterized free space quantum yields were examined, including Erythrosin B,  $[\text{Ru}(\text{bpy})^2]^2+$ , Basic Fucisin, 15  $[\text{Ru}(\text{phn})_2\text{dppz}]^{2+}$  as shown in Figure 11. In all cases it was found that the largest enhancements with the lowest quantum yield fluorophores, confirming our predictions of enhanced fluorescence due to both an increase in radiative decay rate and enhanced excitation. These results were performed on silver island films, formed by a chemical reduction on the quartz surface, which are relatively simple to fabricate.

20 Complimentary to above discussed 1-photon results and interpretations, it was shown localized two-photon excitation of Rhodamine B (RhB) fluorescence occurring near metallic silver islands increases fluorescence emission intensity. This increase, as shown in Figure 12, is accompanied by a reduction in lifetime as compared to that 25 observed using 1-photon excitation. Given the high quantum yield of RhB ( $Q_0 = 0.48$ ) these results can be explained by the metallic particles significantly increasing the excitation rate,  $E_m$ , of the RhB molecules. Moreover, given the sample geometry of Figure 10, and the absence of any notable increase in emission intensity using 1-photon excitation, as well the fact that the 1-photon mean lifetime remained essentially 30 unchanged both in the presence and absence of silver, suggests that enhanced 2-photon excitation is localized to regions in close proximity to the silver islands.

In addition to metallic particles and/or colloids modifying a fluorophores radiative decay rate, they are also known to increase excitation rates by concentrating the incident light [59,60]. The maximum enhancement in the incident electric field has 5 been calculated to be a factor of 140 in the vicinity of appropriately sized metallic ellipsoids [61]. Since the incident intensity is the square of the incident field strength then for a 1-photon process, enhancements in excitation rates by a factor of up-to  $2 \times 10^4$  are possible. It is this phenomenon that one can typically attribute to increases in observed apparent quantum yields near metallic particles to greater than unity. 10 However, a much more dramatic enhancement is possible for multiphoton excitation. For a two-photon absorption process the rate of excitation is proportional to the square of the incident intensity. This suggests that two-photon excitation could be enhanced by a factor of  $3.8 \times 10^8$ . Such an enhancement in the excitation rate is thought to provide 15 selective excitation of fluorophores near metal islands or colloids, even if the solution contains a considerable concentration of other fluorophores that could undergo two-photon excitation at the same wavelength, but are more distant from the metals surface, Figure 13. This interpretation is substantiated by the fact that given the overwhelming excess of high quantum yield RhB in this geometry ( 96 % of solution is too distant for any fluorophore-metal effect) the fluorescence lifetime is still shorter than that 20 typically observed for bulk solution RhB in the absence of metal.

For the surface anthrax assay described herein and shown in Figure 1, two-photon excitation enhances the fluorescence of the label when bound to the target strand but additionally excludes any fluorescence signal from the bulk solution. This increases 25 the signal to noise ratio of the system, substantially increasing the likely detection of a single strand of anthrax DNA.

Fluorophores can undergo a finite number of excitation and emission event cycles prior to photodecomposition. This is a particularly important consideration in single 30 molecule detection and fluorescence microscopy, where the signal level is limited by photodecomposition. For photostable molecules like tetramethyl-rhodamine,

photodecomposition occurs after about 105 event cycles, where less stable fluorophores will degrade after significantly fewer event cycles.

The  $d^3$  quenching effect which occurs for fluorophores positioned less than 50 Å close to the surface will be first considered. Assuming there is no change in the radiative rate,  $\Gamma_m = 0$ , the emission intensity will decrease due to quenching near the surface. However, it is known that quenching by RET results in increased photostability, because the fluorophores spend less time in the excited state, avoiding potential opportunities for photochemical reactions. Given the increase in photostability, the fluorophore can undergo more excitation/emission event cycles prior to photodecomposition, but the reduced quenched lifetime can cancel out this effect, resulting in a similar number of observed photons or fluorophore detectability.

Suitably fabricated metallic particles, with regard to shape and size, can result in a maximum 140-fold amplification of the local intensity [59], which is likely to result in a larger observed fluorescent -intensity at a given illumination. Given that the radiative rate is not altered, then the lifetime will remain the same. Also, since there is no quenching (lifetime remains unchanged), essentially the same overall number of photons will be emitted until the fluorophore degrades. One possible effect is that the fluorophore may display ground state depletion at lower incident intensity of the excitation light. Since the intensity is proportional to the squared field strength, appropriately designed metallic particles may result in an additional 10,000-fold increase in fluorescence intensity. Enhanced excitation rates are likely to increase the extent of fluorophore photodecomposition. Moreover, given the enhancements, it may be expected that the same emission intensity will be observed from fluorophores, but by using a 10,000 times weaker power excitation source, which may be desirable in some biological assay studies.

Further, it has been predicted that the location of a fluorophore at a suitable distance from a metallic surface can result in over a  $10^3$  increase in radiative decay rate which at the same illumination intensity and combined with an enhanced excitation rate, would

produce a remarkable cumulative  $10^7$  increase in fluorescence intensity. In terms of photostability and detectability, an increase in the radiative decay rate is expected to result in dramatic increases in the number of photons observed from each fluorophore. The decreased lifetime is expected to increase the photostability of fluorophores and 5 therefore allow a greater excitation/emission cyclic rate. Hence the combined effect of an increased quantum yield and reduced fluorescence lifetime would result in substantially more detected photons per fluorophore.

Finally, directional emission, which can be characteristic of surface enhanced 10 fluorescence would allow for an additional factor of 10 or more, (typical spectrofluorometers only detect about 1 % of all isotropic fluorescence emission [30]), which combined with a further potential 100 fold enhancement when using two-photon excitation, enhancements of  $10^{10}$  in fluorescence emission intensity may be observed. Using an appropriately optimized silver surface-fluorophore geometry and appropriate 15 assay washing, single capture events (DNA binding) are detectable.

Fabrication of silver islands and silver colloids deposited on quartz slides (low background), with fluorophores positioned at known distances above the metal was accomplished using Langmuir-Blodgett films. Measurements of the metal-fluorophore 20 distances are obtained by using fatty acid spacers such as arachidic acid ( $C_{19}H_{39}COOH$ ), and by using spin coated polymer films such as polyvinyl alcohol (PVA). Polymer film thickness is determined using simple absorbance measurements and ellipsometry. Coordinating specific enhancement distances for standard fluorophores with specific oligonucleotides (distance), optimal fluorescence 25 enhancement is achieved.

The Langmuir-Blodgett technique provides the most accurate way of controlling film thickness and surface uniformity and was used to obtain the data for  $Eu^{3+}$  in Figure 6 [51-54]. This technique allows for accurate control of the short-range metal-fluorophore distance and hence allows the distance dependence of surface enhanced 30 fluorescence to be quantitatively characterize. Different numbers of fatty add layers,

with an additional final layer containing the desired fluorophore were laid down using a KSV 5000 III. Alterative Layer Dipping Trough (KSV Instruments, Inc.) was used to measure for optimal fluorescence enhancement distance, Figures 14 & 15. While the thickness of these monolayers is well characterized [51], ellipsometry, which measures 5 the phase difference in the polarization of linearly polarized light on reflection from a surface was used to additionally confirm the thickness of the fatty acid layers [63]. The length of the complimentary capture anthrax DNA strand used for the assay was based upon positioning a fluorophore at known geometries with the specific distance dependence of MEF from a surface of silver islands and/or colloidal films.

10

Another method to vary the long-range distance-dependence of surface enhanced fluorescence is the use of spin coated poly-vinyl alcohol films. This routine technology readily allows for films to be coated onto a variety of surfaces, with varying thickness from  $> 0.1 \mu\text{m}$  [68,69].

15

The silver surfaces required for MEF are obtained using silver metal island films, sandwiched films as shown in Figure 10, or even spin coated silver islands or colloids. A quartz surface is preferably used for forming the metal islands. Prior to use the quartz slides were soaked in 10 parts 98%  $\text{H}_2\text{SO}_4$  and 1 part 30%  $\text{H}_2\text{O}_2$  for at least 24 20 hrs. The silver island particles were prepared in clean beakers by reduction of silver ions using various reducing agents [70]. Sodium hydroxide was added to a rapidly stirred silver nitrate solution forming a brown precipitate. Ammonium hydroxide was added to redissolve the precipitate. The solution was cooled and dried quartz slides were added to the beaker, followed by glucose. After stirring for 2 mins the mixture 25 was warmed to 30°C. After 10-15 min the mixture turned yellow-green and became cloudy. A thin film of silver particles formed on the slides as could be seen from their brown-green color. The slides were rinsed in pure water prior to use. Additional procedures for preparing silver and gold particles are also available [71 -75], with silver preferred over gold because of the longer surface plasmon absorption of gold, which 30 accounts for its familiar color. Slides were silanized by placing them in a 2 % solution (v/v) of 3-aminopropyltrimethoxysilane (APS) in dry methanol for 2 hrs, rinsing and

then air-drying. The silanized substrates should be used within one hour or stored under a dry nitrogen atmosphere. The use of fresh silver surfaces should be used to avoid oxidation or other chemical reactions on the surface. Surface plasmon absorption is used to monitor the quality of the islands and all assay surfaces.

5

Colloids may be prepared as suspensions by citrate reduction of silver or gold. Silver particles are preferably used with shorter wavelengths [76]. Gold colloids can be used with longer wavelength red and NIR fluorophores. Since there is extensive literature on the optical properties of metal particles [77,78] and the effects of interface chemistry 10 on the optical property of colloids [79], the size of the colloids and their homogeneity are readily determined. It is also possible to prepare bimetallic metal nanoparticles [80] or hollow sphere colloids [81]. For a hollow sphere, a fluorophore is positioned in the center of the silvered sphere, which is transparent to the fluorescence emission.

15 Additionally, pre-formed metal particles or colloids can be bound to glass surfaces by placing functional groups such as cyanide (CN), amine (NH<sub>2</sub>), or thiol (SH) on a glass or polymer substrate. Silver and gold colloids spontaneously bind to such surfaces with high affinity [82,83]. Colloids bound to the glass surface can then be coated with either Langmuir-Blodgett spacer films or spin-coated PVA to investigate the fluorescence 20 enhancement as a function of distance from the surface. It was found that significant enhancements occur when using glass-bound silver colloids as compared to silver island films. Both silver island and silver colloid films can also be stabilized to reduce long-term oxidation, which reduces the surface plasmon resonances. APS may be used 25 to grow an inert SiO<sub>2</sub> layer, from TMOS (Tetramethylorthosilicate) to reduce surface oxidation. The SiO<sub>2</sub> coating not only protects the surface, but also maintains the silver surface plasmon resonance's of silver, where only a slight shift in surface plasmon absorption is observed. If desired the silica can then be derivatized by methods used for attaching organic molecules to glass [84]. Further procedures for coating particles with silica have also been developed [85,86].

30

Surface plasmon absorption is due to the oscillations of free charges at a metal boundary which propagate along the metal surface. These resonances are often excited using evanescent waves. The surface plasmon absorption can give an indication of colloid size, Figure 16, and shape, Figure 17. Surface plasmon absorption effects 5 depend on the refractive index of the medium and under certain conditions it is sensitive to compounds binding to the surface of the metal [26,27], where any effects of binding on the surface plasmon absorption can be monitored using simple absorption measurements. In addition other methods to protect the plasmon absorption of metal surfaces and colloids are known in the art, i.e. by coating with polyvinylpyrrolidone 10 (PVP) [96], and may be used if required.

For detection of a specific single anthrax DNA sequence, one of the DNA oligonucleotide capture sequences is immobilized to the metal or silica (protective layer) surface, as shown in Figure 1. Thiol-containing oligonucleotides are linked to 15 slides treated with (3-mercaptopropyl) trimethoxysilane (MPTS) [87,88] or N-(6-maleimidocaproy)succinimide (EMOS) [89]. The sulfhydryl-groups spontaneously bind to silver and gold and the plasmon resonance is retained [90,91]. Amino groups are used to spontaneously bind amino-terminated oligonucleotides to silver [92]. Similar methods are available to attach silver or gold colloids to glass substrates 20 [93,94], some using avidin-biotin chemistry [95].

The design of the silver micro-arrays used to detect Anthrax (as shown in figure 1). The first assay uses immobilized silver particles on a quartz substrate. The second assay makes use of the additional colloids for superior fluorescence enhancement 25 emission when the fluorophore is positioned between the colloids.

As discussed above, DNA oligonucleotides may be bound to silver surfaces or particles by using amino or sulfhydryl groups using methods known in the art. The length of the complimentary capture DNA oligonucleotides are determined by the metal enhanced 30 fluorescence experiments with the Langmuir-Blodgett films and spin coated PVA. Suitable DNA sequences for use in the assay are those DNA sequences that bind the

2nd complimentary strand out of the quenching region ( $> 50 \text{ \AA}$ , Figure 1) but still within the enhancement region (50 - 500  $\text{\AA}$ ). Fluorophore-metal distances which provide maximum fluorescence enhancement are determined empirically and are thus used in determining the DNA sequence length to use for DNA capture sequences so 5 that fluorescence of same is optimally enhanced

While any fluorophore can be used for the assay system, low quantum yield fluorophores are more desirable because: 1) they produce a greater fluorescence enhancement and therefore increase detectability [26-30] and; 2) the background of the 10 assay is darker, producing a better signal-to-noise ratio and thus provides better assay sensitivity. Also, in using 2-photon excitation for the assay [97-99], fluorescent probes with good 2-photon cross-sections [100] are desirable. Rhodamine 6G and Fluorescein are readily used as 2-photon probes [101] and nucleotides for oligonucleotide synthesis are available labeled with these two fluorophores.

15

The fluorophores are placed in specific locations (distances which provide optimal metal enhanced fluorescence), by incorporation of labeled nucleotides during solid state oligonucleotide synthesis. Alternatively, labeled nucleotides are incorporated using PCR or various methods well known in the art.

20

Using an inert  $\text{SiO}_2$  surface coating reduces oxidation effects while still maintaining the surface plasmon absorption of the metal. To reduce oxidation effects, fresh colloids may be coated with inert silica from sol-gel solutions using known procedures [102]. Silane reagents will subsequently place reactive groups on the surface where capture 25 DNA oligonucleotides are attached, as shown in Figure 1. Additionally, the  $\text{SiO}_2$  coatings themselves are used as inert spacers for MEF.

A panel of *B. anthracis* isolates are assembled and stored as spore preps at -70°C in 15% glycerol broth until required. A panel of other members of the *B. cereus* group are 30 also assembled and stored at -70°C in 15% glycerol broth until required. This panel contains representatives of strains that in the past have cross-reacted with anthrax

specific sequences. When vegetative organisms are required the strains are cultured in brain-heart infusion agar and checked for purity by subculture onto blood agar plates.

Bacterial DNA may be isolated using various DNA isolation methods known in the art.

5 A kit suitable for isolating DNA is the Roche High Pure PCR Template Preparation Kit. DNA concentration is estimated by measuring the absorbance of a solution at 260 nm. An  $A_{260}$  value of 1 is equivalent to a DNA concentration of 50  $\mu\text{g}/\text{ml}$  for double stranded DNA and 20  $\mu\text{g}/\text{ml}$  for single-stranded DNA. The purity of a sample is assessed by calculating the 260/280 nm absorbance ratio. This is approximately a ratio  
10 greater than 1.5 for protein-free DNA samples.

15 Oligonucleotide sequences should be examined to ensure that the nucleotide sequence does not contain self-complementary stretches that could potentially form stem loops. Complementarity between oligonucleotide pairs is also avoided as this can lead to formation of primer-dimer artifacts. Binding of the oligomer to other regions of the template DNA is avoided by prior comparison of the DNA nucleotide sequence of the template DNA to be amplified for local high percentage match to the primer, using the PRIMER EXPRESS software package from Perkin Elmer ABI. The step of washing the assay surface after target capture will remove any non-hybridized complimentary  
20 labeled capture stands if the background fluorescence signal levels from the bulk solution are high.

25 Previously designed PCR primers used to determine the nucleotide sequence of PA [103] serve as a starting point for the design of oligomers [104].

When compared to other completed bacterial genomes, most *B. anthracis* proteins have their highest level of similarity to other *Bacillus* genomes (*B. subtilis* (2065 (36%)) and *B. halodurans* (1082 (19%))). Most *B. anthracis* chromosomal proteins have homologs in the draft sequence of the *B. census* 10987 genome (this is currently being undertaken  
30 by TIGR), confirming the very close relationship between these organisms. There are 642 genes in *B. anthracis* without matches in *B. subtilis*, *B. halodurans* or *B. census*

10987 but these are mostly small hypothetical proteins. Only 43 have a predicted function, and these numbers may be lower when the completed *B. cereus* 10987 genome is available. These genes may define unique phenotypic characteristics of *B. anthracis*, which could be potentially of great interest in regard to virulence. Using this 5 information immobilized and free DNA oligonucleotides used for probes are designed and screened for sensitivity and specificity for their target sequence.

The surface anthrax assay can use 2-photon excitation at approximately 750-900 nm using short pulse width (< 50 ps), high repetition rate (> 1 MHz), laser diode sources.

10 Due to the significant enhancements in the excitation rates of fluorophores near metallic surfaces,  $E_m$ , (figure 2) the fluorescence enhancement of the one-photon optimized system (i.e. where the distance dependence was predetermined by L-B films) can be reevaluated with each specific capture oligonucleotide sequence. A variety of pulsed laser diode sources that will be compatible with fluorophores, having good 2-photon cross-sections [100] in the wavelength range 375 - 450 nm, can also be used in 15 the present invention. Suitable probes may be screened using tunable Ti:Sapphire laser excitation and using multiphoton microscopy.

20 For a bio-terrorism anthrax assay to be useful, it is necessary to detect *B. anthracis* DNA in environmental (real world) samples in which large amounts of DNA from other related and unrelated micro-organisms is likely to be present. Soil samples may be collected and the total DNA from the soil samples known to contain anthrax. DNA will be extracted using the Ultra Clean Soil DNA Kit (Mo Bio, Solano Beach, CA).

25 The present invention may be further applicable to DNA sequencing. While the human genome and other organisms have been sequenced [105,106], there is still a need for faster, cheaper and more sensitive DNA sequencing such as single strand sequencing [107]. This may be accomplished using an exonuclease to sequentially cleave single 30 nucleotides from the strand, labeling them and detecting every one [107]. Such a process requires that every nucleotide must be both labeled and detected, which is even

more difficult than single molecule detection, where one tries to find one fluorophore amongst many. Using intrinsic nucleotide fluorescence (enhanced by metal) would allow a new way to sequence DNA. Individual nucleotides are cleaved from a single strand of a polynucleotide and each of the free nucleotides is identified, based upon its 5 characteristic fluorescence emission spectrum and lifetime. Alternatively, using the above detection method but no exonuclease cleavage, individual nucleotides of a single strand of a polynucleotide are identified by scanning a linear strand of the polynucleotide and obtaining a sequence directly from the intact DNA strand.

10 The assay of the present invention comprises a surface comprising silver colloids or islands. Attached to the surface and/or silver colloids/islands are polynucleotides which are complimentary to a target polynucleotide sequence. These capture polynucleotide sequences are attached at either the 5' or 3' end. The assay is performed by adding the target polynucleotide sequence to the assay surface and 15 allowed to hybridize with the capture polynucleotides. Fluorophore-labeled capture polynucleotides are added and hybridize to the target polynucleotide. Unbound target polynucleotide and labeled capture sequence may be removed by washing, but washing is not required. Bound target polynucleotide is detected by metal enhanced fluorescence. The target polynucleotide sequence and capture sequence are preferably 20 single stranded but may be double stranded and may be either deoxyribonucleic acid or ribonucleic acid. Many conditions suitable for hybridizing polynucleotides are known in the art. High stringency conditions or high stringency hybridization conditions are where polynucleotides are hybridized under the following conditions: 6X SSPE, 5X Denhardt's reagent, 50% formamide, 42 °C, 0.5% SDS, 100 µg /ml sonicated denatured 25 calf thymus or salmon sperm DNA. Medium stringency conditions or medium stringency hybridization conditions are where polynucleotides are hybridized under the following conditions: 6X SSPE, 5X Denhardt's reagent, 42 °C, 0.5% SDS, 100 µg /ml sonicated denatured calf thymus or salmon sperm DNA. Low stringency conditions or low stringency hybridization conditions are where polynucleotides are hybridized under 30 the following conditions: 6X SSPE, 5X Denhardt's reagent, 30°C, 0.5% SDS, 100 µg /ml sonicated denatured calf thymus or salmon sperm DNA. The formulae for the

buffers used for hybridizations are: 20X SSPE: 3.6 M NaCl, 0.2 M phosphate, pH 7.0, 20 mM EDTA. 50X Denhardt's reagent: 5 g FICOLL Type 400, 5 g polyvinylpyrrolidone, 5g bovine serum albumin and water to 500 ml.

5 It is recognized in the art of nucleotide hybridization that high, medium and low stringency hybridizations can be performed under a variety of different conditions. The provided conditions for performing nucleotide hybridizations are illustrative of the specific hybridizations for high, medium and low stringency conditions. These hybridization conditions are not intended to limit the disclosed method as one of  
10 ordinary skill in the art would recognize that the method of the instant invention is not dependent upon the disclosed hybridization conditions but can be achieved using many other different hybridization conditions.

Additionally, several different attached capture polynucleotide sequences may be used,  
15 as well as different fluorophore-labeled capture polynucleotide sequences to allow detection of more than one target polynucleotide sequence. Fluorophore-labeled capture polynucleotides of different sequences may be labeled with different fluorophores to allow identification of the different target sequences that may be present in a sample. The fluorophore-labeled capture polynucleotides may also  
20 comprise a silver colloid to further enhance fluorescence emission.

The capture immobilized DNA probe may be any length of nucleotides any preferably of a sufficient length of nucleotides to allow interaction of a bound fluorophore with the metallized surface of the substrate. The sequence may be from about 5 to about 300  
25 nucleotides in

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While the invention has been described herein with reference to specific features, aspects and embodiments, it will be recognized that the invention may be widely  
30 varied, and that numerous other variations, modifications and other embodiments will readily suggest themselves to those of ordinary skill in the art. Accordingly, the

ensuing claims are to be broadly construed, as encompassing all such other variations, modifications and other embodiments, within their spirit and scope.

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All references discussed herein are hereby incorporated herein by reference for all purposes.

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## CLAIMS

That which is claimed is:

5 1. A method for detecting a target pathogen in a sample, the method comprising:

- providing a system comprising:  
a layer of immobilized metal particles positioned on a surface substrate, wherein the immobilized metal particles have attached thereto a captured biomolecular probe with an affinity for the target pathogen; and  
a free biomolecular probe with an affinity for the target pathogen, wherein the free biomolecular probe has attached thereto a fluorophore;
- contacting the sample with the immobilized biomolecular probes, wherein the target pathogen binds to the immobilized biomolecular probes; and
- contacting the bound target pathogen with the free biomolecular probe, wherein binding of the free biomolecular probe to the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance fluorescence emission when excited by an irradiating source.

20 2. The method according to claim 2 wherein the immobilized and free biomolecular probes comprise a DNA sequence complementary to a target pathogen DNA sequence.

3. The method according to claim 2, wherein the target pathogen is *B. anthracis*.

25 4. The method according to claim 1, wherein the fluorophore is positioned from about 50 to about 500 from the immobilized metal particles after the free biomolecular probe contacts the target pathogen.

30 5. The method according to claim 1, wherein the metal particles is silver or gold.

6. The method according to claim 1, further comprising detecting fluorescence emission with a detection device.

7. The method according to claim 6, wherein the detection device comprises a 5 spectrometer, luminometer microscope, plate reader, fluorescent scanner, flow cytometer, or any combination thereof.

8. The method according to claim 4, wherein the immobilized biomolecular probe is covalently linked to the immobilized metallized particles.

10

9. The method according to claim 2, wherein binding of the immobilized and free DNA sequence complementary to the target pathogen DNA is conducted under high stringent hybridization conditions.

15

10. The method according to claim 1, wherein the irradiating source uses a 1-photon or 2-photon excitation means.

11. The method according to claim 1, wherein the biomolecular probe is an antibody and the target pathogen is an antigen.

20

12. The method according to claim 1, wherein the fluorophore comprises a low quantum yield species.

25

13. The method according to claim 1, wherein the fluorophore can undergo two-photon excitation.

14. The method according to claim 1, wherein the fluorophore comprises Rhodamine B, rose bengal or fluorescein isothiocyanate.

30

15. The method according to claim 1, wherein the free biomolecular probe further comprises a metal colloid attached thereto and positioned for sandwiching the

fluorophore between the metal colloid and immobilized metal particles on the substrate when the target pathogen is bound.

16. An assay method for detecting a target pathogen in a sample, the method  
5 comprising:

a) providing a system comprising:

10 an immobilized metallized layer positioned on a surface substrate, wherein the immobilized metallized layer has attached thereto an immobilized capture DNA sequence probe complementary to a known DNA sequence of the target pathogen; and

15 a free capture DNA sequence probe complementary to a known DNA sequence of the target pathogen, wherein the free capture DNA sequence probe has attached thereto a fluorophore;

20 b) contacting the sample with the immobilized capture DNA sequence probe, wherein the DNA sequence of the target pathogen binds to the immobilized capture DNA sequence probe;

25 c) contacting the bound DNA sequence of the target pathogen with the free capture DNA sequence probe, wherein binding of the free capture DNA sequence probe to the DNA sequence of the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metallized surface to enhance fluorescence emission when excited by an irradiating source; and

25 d) identifying the target pathogen by fluorescence emission by irradiating the system with an irradiating source to excite the fluorophore.

25

17. The method according to claim 16, wherein the free capture DNA sequence probe further comprises a metal colloid attached thereto and positioned for sandwiching the fluorophore between the metal colloid and immobilized metal particles on the surface substrate when the DNA sequence of the target pathogen is bound to the 30 immobilized metal particles.

18. The method according to claim 16, wherein the DNA sequence target pathogen is *B. anthracis*.

19. The method according to claim 16, wherein the fluorophore is positioned from 5 about 50 to about 500 from the immobilized metallized surface after the free capture DNA sequence probe contacts the DNA sequence of the target pathogen.

20. The method according to claim 16, wherein the metallized surface comprises metal particles comprising silver or gold.

10

21. The method according to claim 16, further comprising detecting fluorescence emission with a detection device.

15

22. The method according to claim 21, wherein the detection device comprises a spectrometer, luminometer microscope, plate reader, fluorescent scanner, flow cytometer, or any combination thereof.

20

23. The method according to claim 16, wherein binding of the immobilized and free capture DNA sequence complementary to the target pathogen DNA is conducted under high stringent hybridization conditions.

24. The method according to claim 16, wherein the irradiating source uses a 1-photon or 2-photon excitation means.

25

25. The method according to claim 16, wherein the fluorophore comprises a low quantum yield species.

26. The method according to claim 16, wherein the fluorophore can undergo two-photon excitation.

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27. The method according to claim 16, wherein the fluorophore comprises Rhodamine B, rose bengal or fluorescein isothiocyanate.

28. An assay system for detecting a target pathogen in a sample, the system  
5 comprising:

a layer of immobilized metal particles deposited on a surface substrate, wherein a captured DNA probe complementary to a known DNA sequence of the target pathogen is immobilized on the metal particles;

10 a free DNA probe complementary to the known DNA of the target pathogen, wherein the free DNA probe has attached thereto a fluorophore; wherein binding of the immobilized and free DNA probe to the DNA sequence of the target pathogen causes the fluorophore to be positioned a sufficient distance from the immobilized metal particles to enhance fluorescence emission.

15 29. The system according to claim 28, wherein the free DNA sequence probe further comprises a metal colloid attached thereto and positioned for sandwiching the fluorophore between the metal colloid and immobilized metal particles on the surface substrate.

20 30. The system according to claim 28, wherein the DNA sequence target pathogen is *B. anthracis*.

31. The system according to claim 28, wherein the metal particles is silver or gold.

25 32. The system according to claim 28, further comprising a detection device for detecting fluorescence emission.

33. The system method according to claim 32, wherein the detection device comprises a spectrometer, luminometer microscope, plate reader, fluorescent scanner, 30 flow cytometer, or any combination thereof.

34. The system according to claim 28, wherein the fluorophore comprises a low quantum yield species.

36. The method according to claim 28, wherein the fluorophore can undergo two-  
5 photon excitation.

37. The method according to claim 28, wherein the fluorophore comprises Rhodamine B, rose bengal or fluorescein isothiocyanate.

10 38. An assay system for detecting a target pathogen comprising:  
a layer of immobilized metal particles deposited on a surface substrate, wherein  
a captured biomolecular probe having an affinity for a target pathogen is immobilized  
on the metal particles;

15 a free biomolecular probe having an affinity for a target pathogen, wherein the  
free biomolecular probe has attached thereto a fluorophore; wherein binding of the  
immobilized and free biomolecular probe to the target pathogen causes the fluorophore  
to be positioned a sufficient distance from the immobilized metal particles to enhance  
fluorescence emission.

20 39. The system according to claim 38, wherein the immobilized and free  
biomolecular probes comprise a DNA sequence complementary to a target pathogen  
DNA sequence.

40. The system according to claim 38, wherein the target pathogen is *B. anthracis*.

25 41. The system according to claim 38, wherein the metal particles is silver or gold.

42. The system according to claim 38, further comprising a detection device for  
detecting fluorescence emission.

43. The system according to claim 42, wherein the detection device comprises a spectrometer, luminometer microscope, plate reader, fluorescent scanner, flow cytometer, or any combination thereof.

5 44. The system according to claim 38 further comprising an irradiating source.

45. The system according to claim 38, wherein the biomolecular probe is an antibody and the target pathogen is an antigen.

10 46. The system according to claim 38, wherein the fluorophore comprises a low quantum yield species.

47. The system according to claim 38, wherein the fluorophore can undergo two-photon excitation.

15 48. The system according to claim 38, wherein the fluorophore comprises Rhodamine B, rose bengal or fluorescein isothiocyanate.

49. The system according to claim 38, wherein the free biomolecular probe further 20 comprises a metal colloid attached thereto and positioned for sandwiching the fluorophore between the metal colloid and immobilized metal particles on the substrate.

50. A kit for detecting a target pathogen in a sample, the kit comprising  
a container comprising a layer of immobilized metal particles deposited on a  
25 surface substrate, wherein a captured biomolecular probe is immobilized on the metal  
particles and wherein the captured biomolecular probe has an affinity for a target  
pathogen of interest ; and  
a free biomolecular probe having an affinity for a target pathogen, wherein the  
30 free biomolecular probe has attached thereto a fluorophore and wherein binding of the  
target pathogen to both the immobilized and free biomolecular probe causes the  
fluorophore to be positioned a sufficient distance from the immobilized metal particles

to enhance fluorescence emission, wherein the immobilized and free biomolecular probe can be in the same or different containers.

51. The kit according to claim 50, wherein the immobilized and free biomolecular

5 probes comprise a DNA sequence complementary to a target pathogen DNA sequence.

52. The kit according to claim 51, wherein the target pathogen is *B. anthracis*.

53. The kit according to claim 50, wherein the metal particles is silver or gold.

10

54. The kit according to claim 50, wherein the fluorophore comprises a low quantum yield species.

55. The kit according to claim 50, wherein the fluorophore comprises Rhodamine

15 B, rose bengal or fluorescein isothiocyanate.

56. The kit according to claim 50, wherein the free biomolecular probe further comprises a metal colloid attached thereto and positioned for sandwiching the fluorophore between the metal colloid and immobilized metal particles on the substrate.

20

## Assay 1

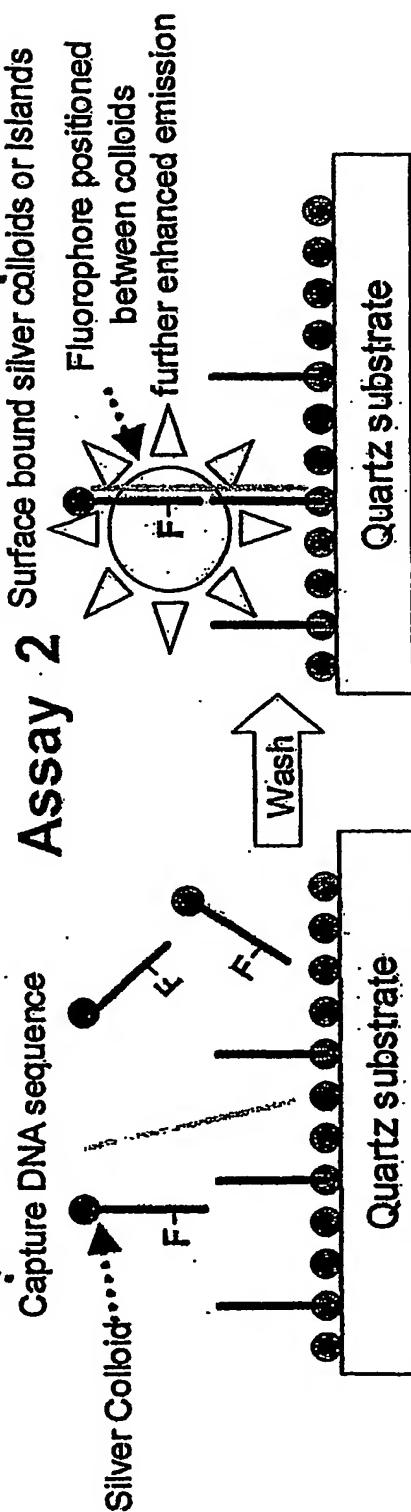
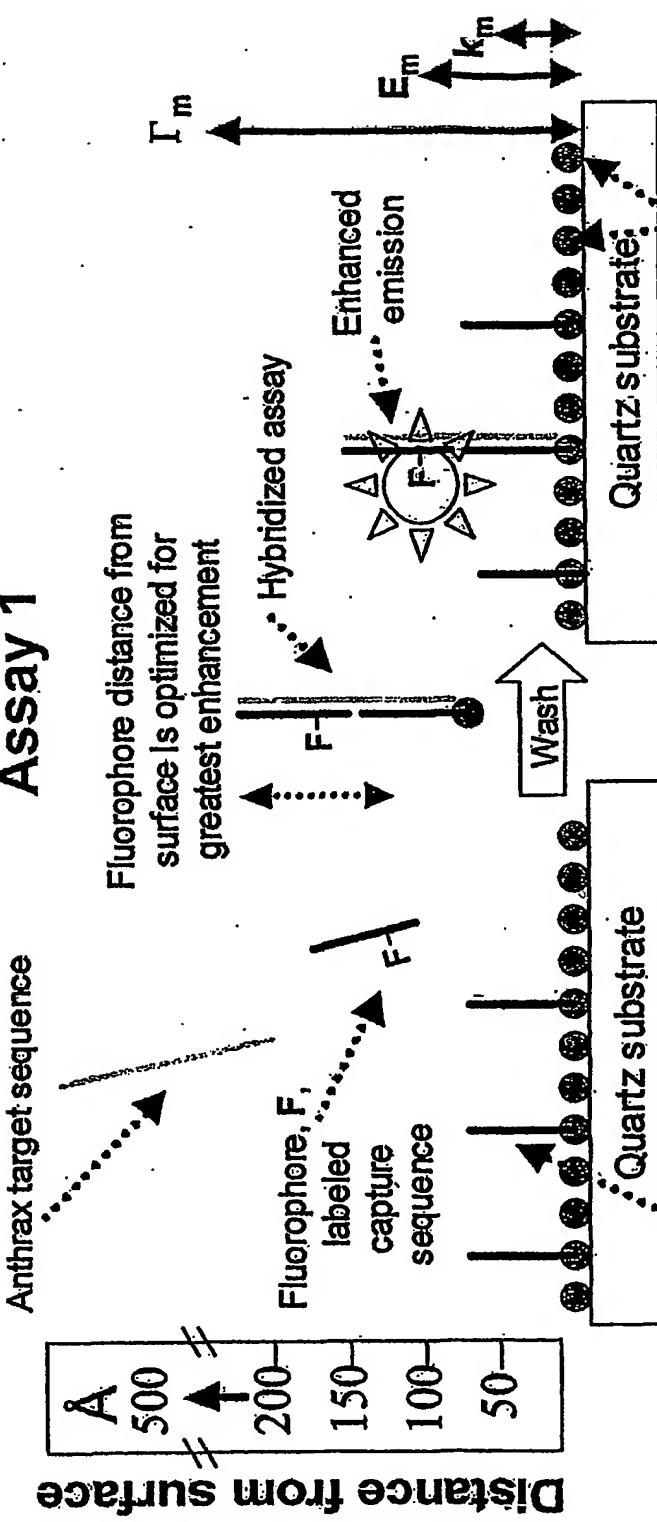


FIGURE 1

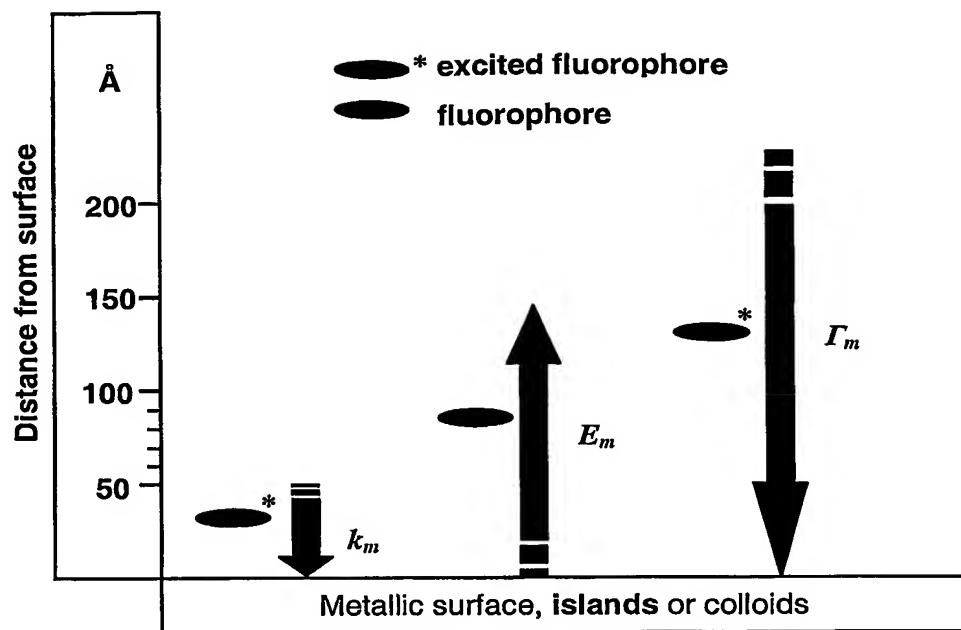


FIGURE 2

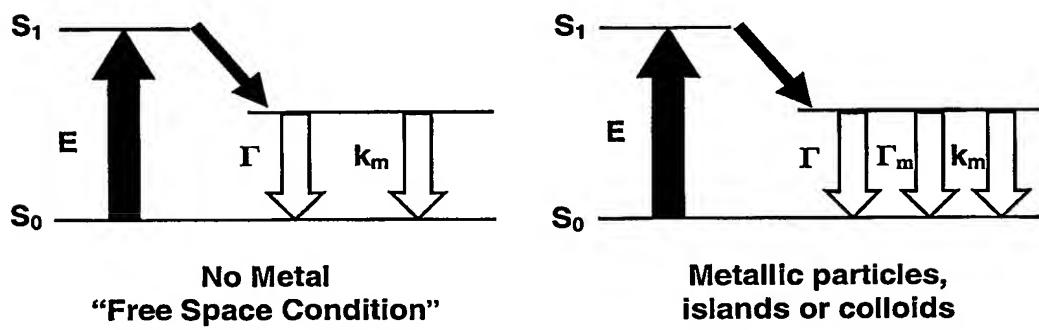
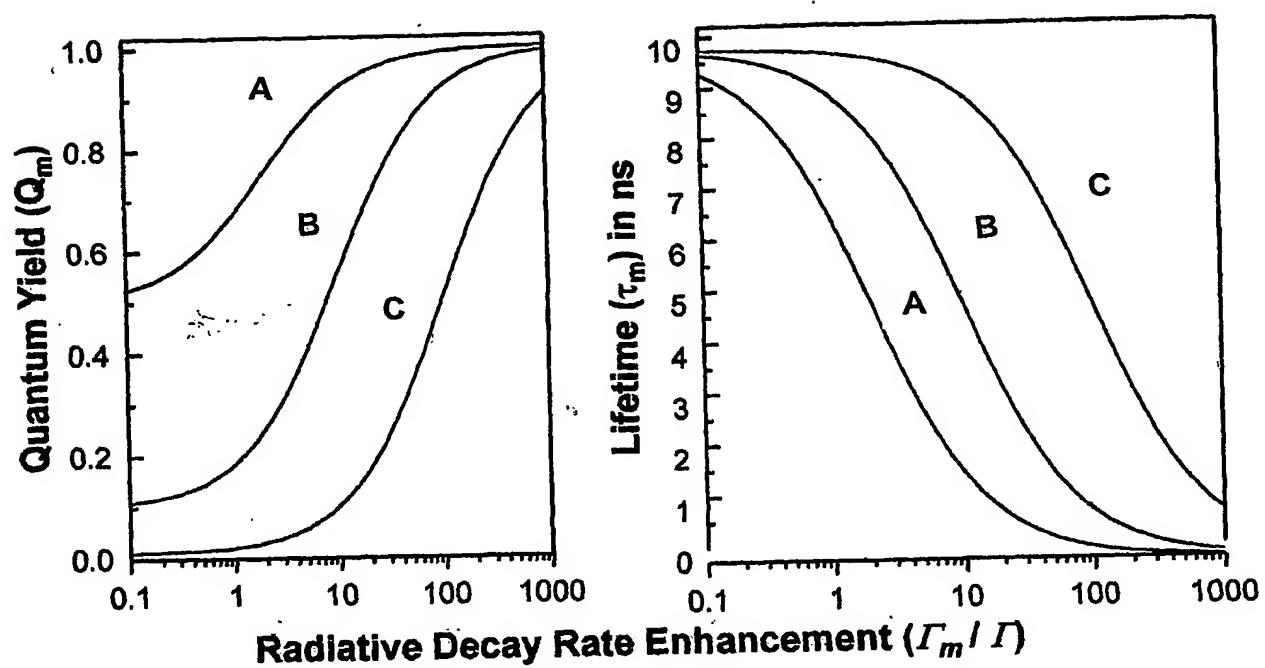
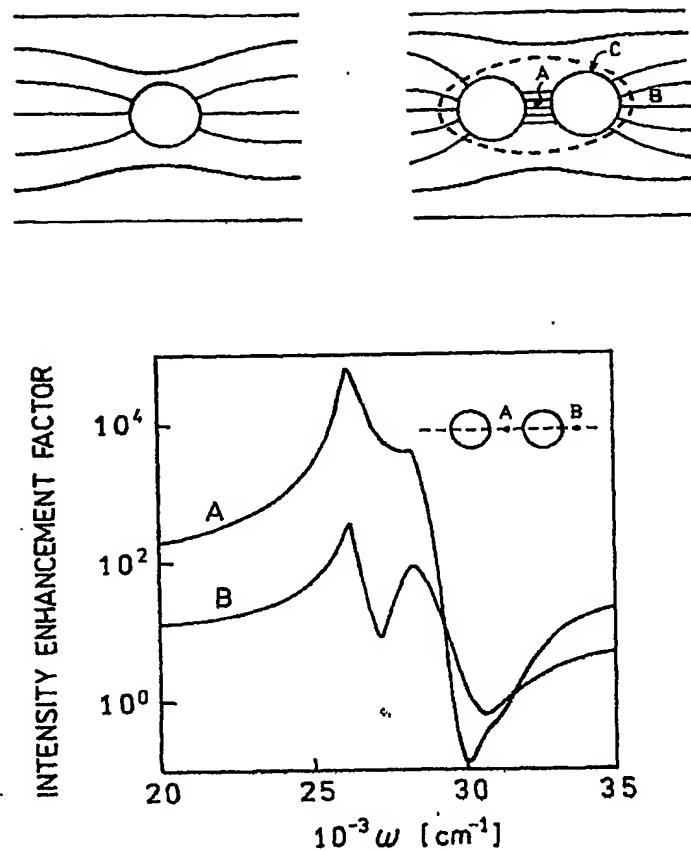
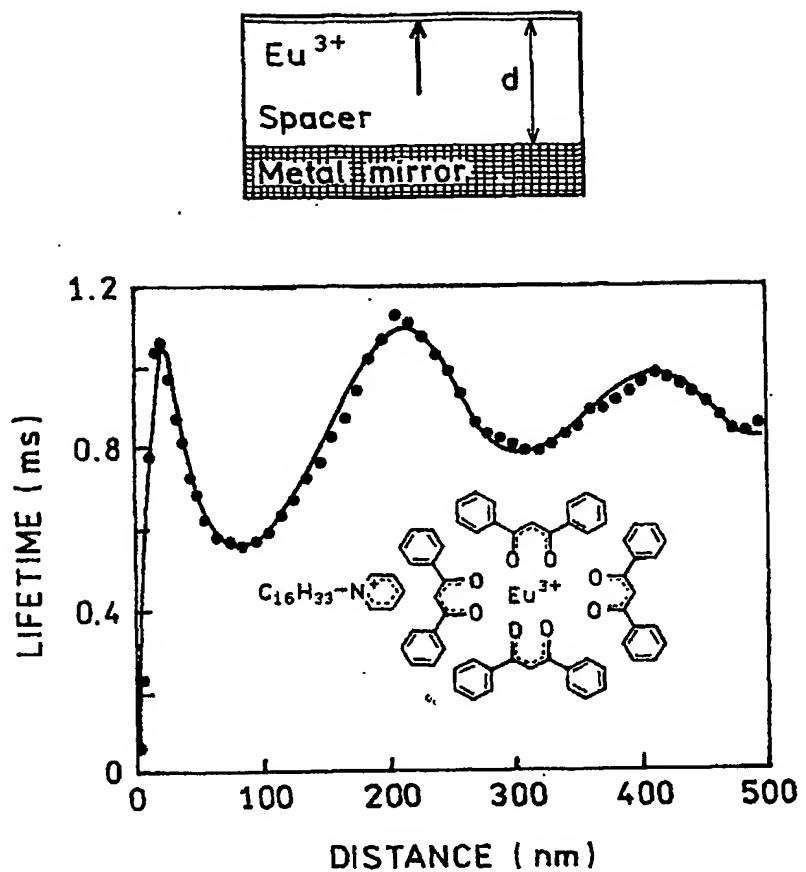


FIGURE 3

**FIGURE 4**

**FIGURE 5**

**FIGURE 6**

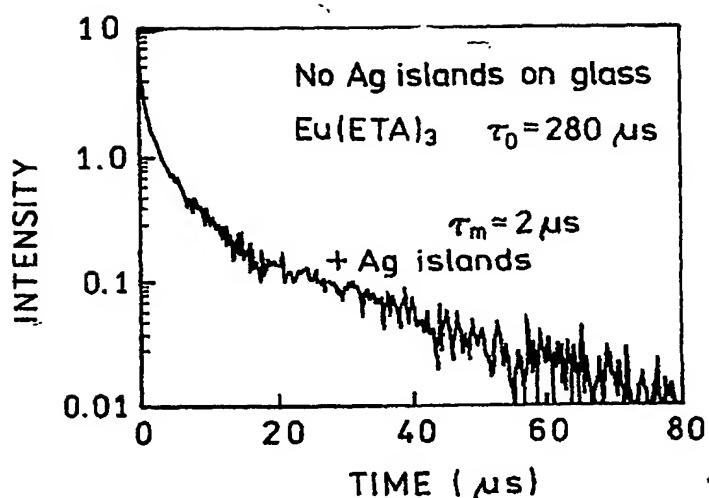
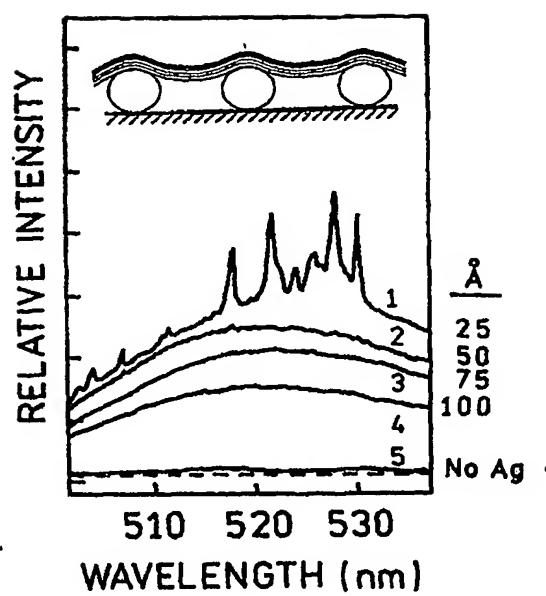
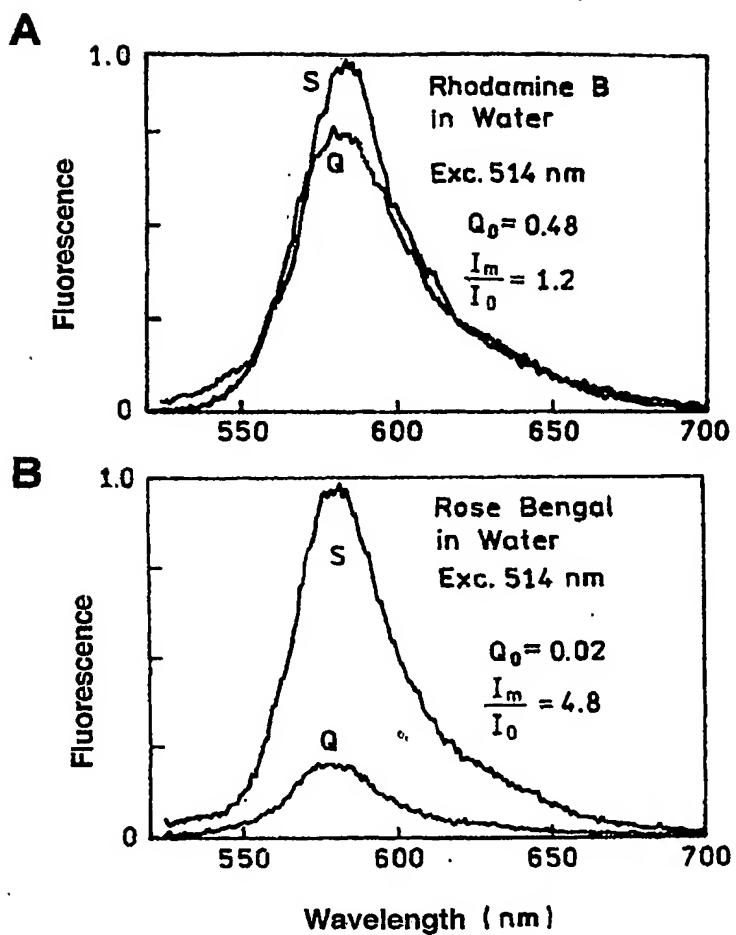
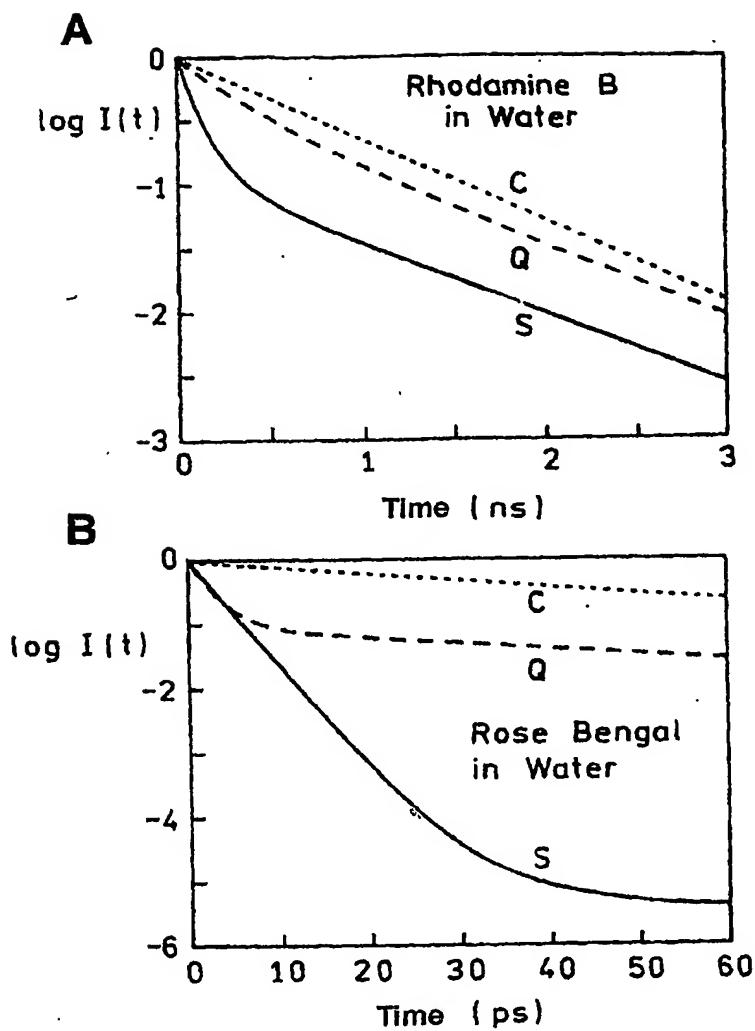
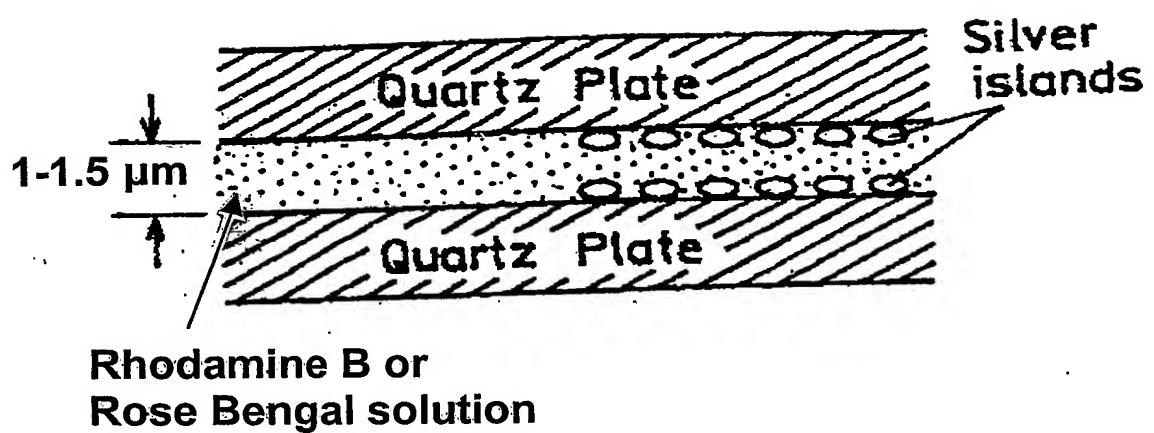


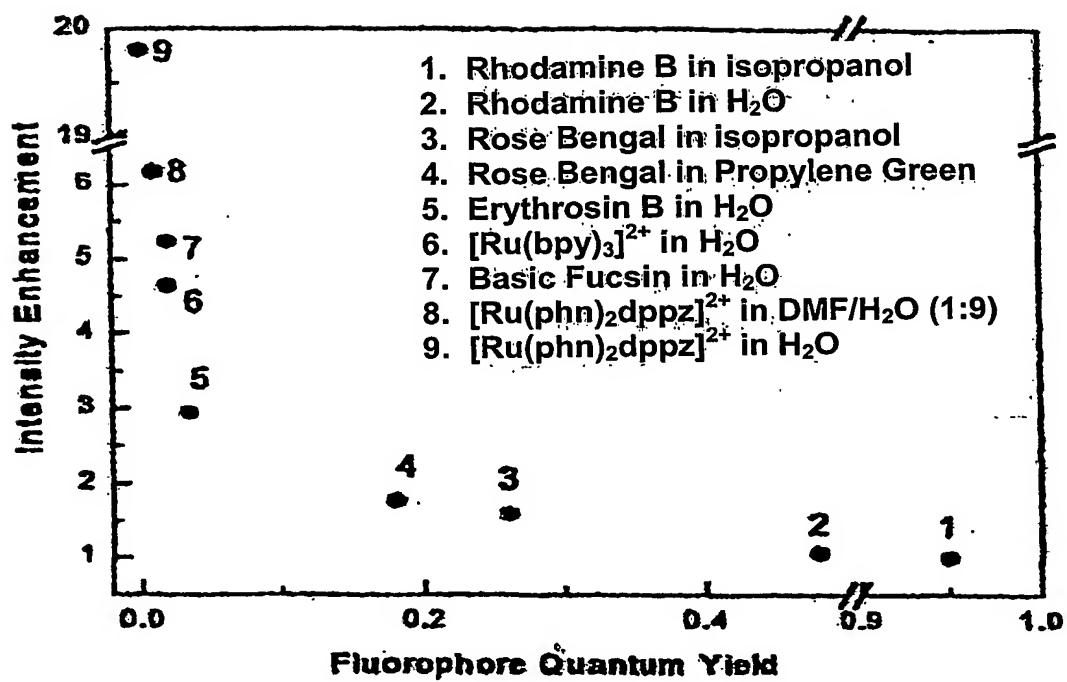
FIGURE 7

**FIGURE 8**

**FIGURE 9**



## FIGURE 10

**FIGURE 11**

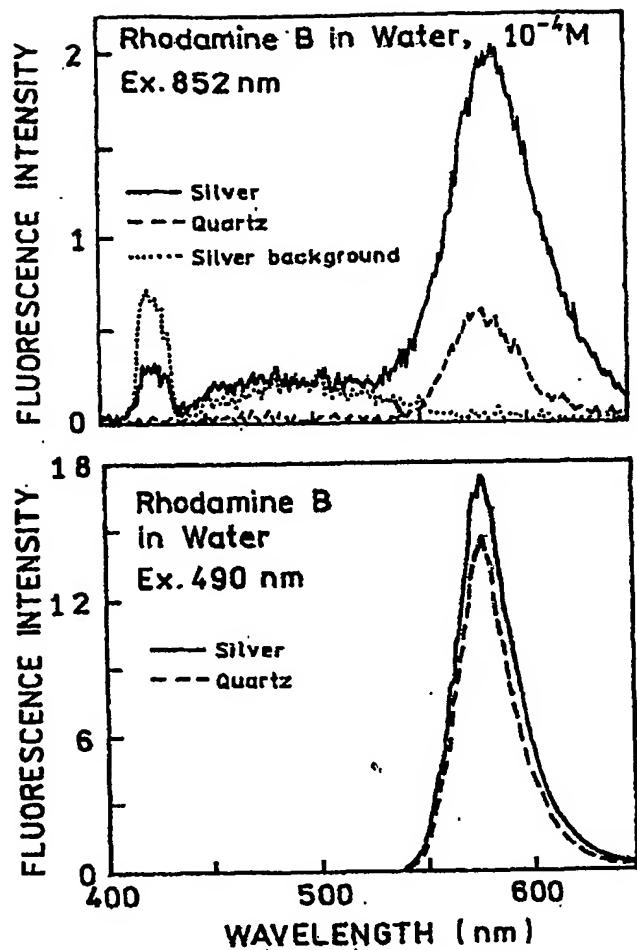
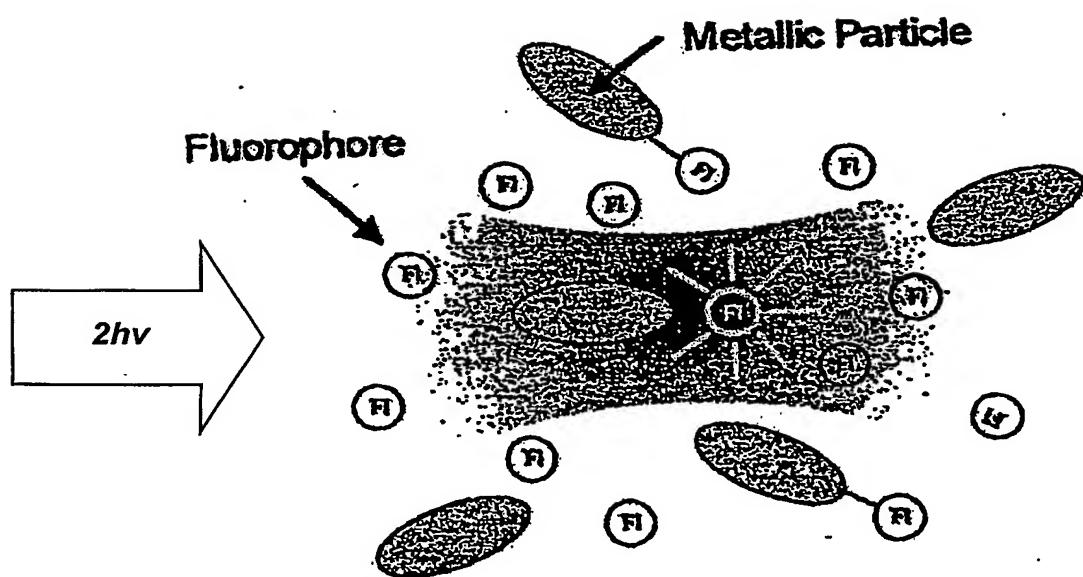
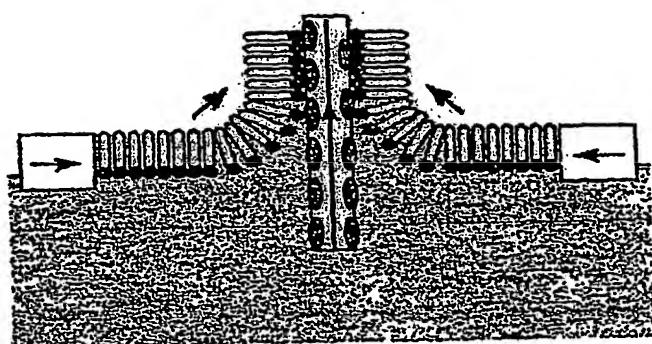


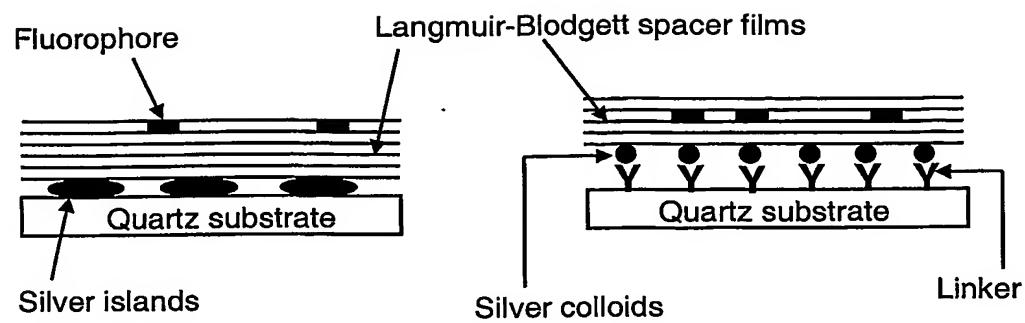
FIGURE 12



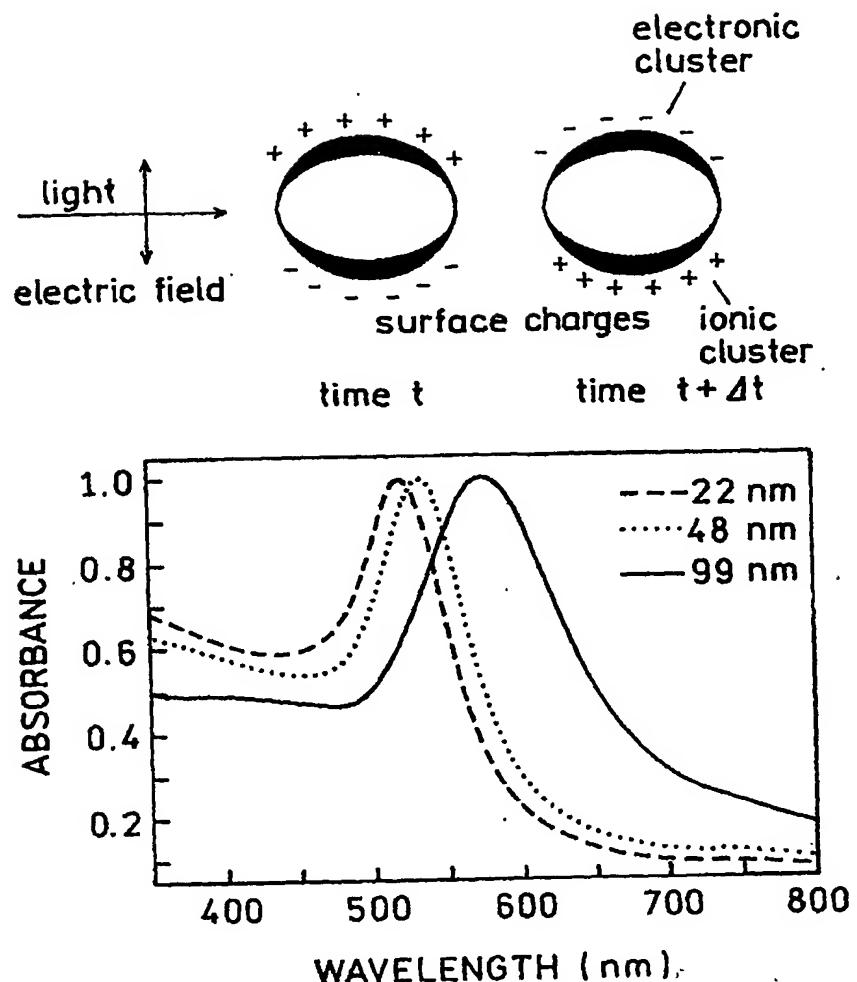
**FIGURE 13**

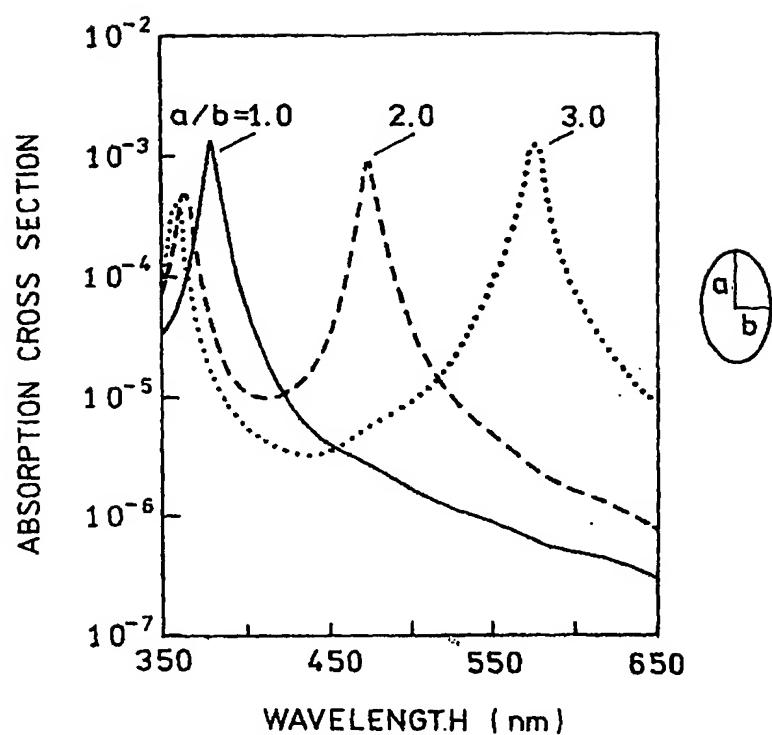


**FIGURE 14**



**FIGURE 15**

**FIGURE 16**

**FIGURE 17**